Please note the application may be revised on a bi-annual basis. To ensure you are filing the current version of the application, please reference the National Insurance Producer Registry web site at <a href="https://www.nipr.com">www.nipr.com</a>.

## Uniform Application for Individual Producer License Renewal/Continuation

(Please Print or Type)

License Type: \_

e #:	T. 0		
	T 0 4		
Demographi	c Information		
	Date of Birth		
	First Name		
City	Sta	ate	Zip or Foreign Country
<u> </u>			
P.O. Box	City	State	Zip or Foreign Country
s Fax Number	Business E-Mail Address	Business We	eb Site Address
P.O. Box	City	State	Zip or Foreign Country
<u> </u>	<u> </u>		
e only if the applicant is to be	licensed as an active memb	ber of the business entity)	
	Name of Agency		
Name of Ag	ency		
Name of Ag	ency		
Backgroun	nd Questions		ld or deferred, or are y
	Agency or Business c only if the applicant is to be Name of Ag Name of Ag Name of Ag Backgroun	Agency or Business Entity Affiliations conly if the applicant is to be licensed as an active member of Agency Name of Agency Name of Agency Background Questions	Agency or Business Entity Affiliations  only if the applicant is to be licensed as an active member of the business entity)  Name of Agency  Name of Agency  Name of Agency

You may exclude the following misdemeanor convictions or pending misdemeanor charges: traffic citations, driving under the influence (DUI), driving while intoxicated (DWI), driving without a license, reckless driving, or driving with a suspended or revoked license..

You may also exclude juvenile adjudications (offenses where you were adjudicated delinquent in a juvenile court)

1b. Have you been convicted of a felony, had a judgment withheld or deferred, or

Check appropriate boxes for license requested.

Resident License License #:

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## Uniform Application for Individual Producer License Renewal/Continuation

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Applicant Name:		

## **Background Questions** continued

NOTE: For Questions 1a, 1b and 1c, "Convicted" includes, but is not limited to, having been found guilty by verdict of a judge or jury, having entered a plea of guilty or nolo contendere or no contest, or having been given probation, a suspended sentence, or a fine.

If you answer yes to any of these questions, you must attach to this application:

- a) a written statement explaining the circumstances of each incident,
- b) a copy of the charging document,
- c) a copy of the official document, which demonstrates the resolution of the charges or any final judgment.
- 2. Have you been named or involved as a party in an administrative proceeding, including a FINRA sanction or arbitration proceeding regarding any professional or occupational license or registration, which has not been previously reported to this insurance department?

Yes \_\_\_ No\_\_\_

"Involved" means having a license censured, suspended, revoked, canceled, terminated; or, being assessed a fine, placed on probation, sanctioned or surrendering a license to resolve an administrative action. "Involved" also means being named as a party to an administrative or arbitration proceeding, which is related to a professional or occupational license, or registration. "Involved" also means having a license, or registration, application denied or the act of withdrawing an application to avoid a denial. INCLUDE any business so named because of your actions in your capacity as an owner, partner, officer or director, or member or manager of a Limited Liability Company. You may exclude terminations due solely to noncompliance with continuing education requirements or failure to pay a renewal fee.

If you answer yes, you must attach to this application:

- a) a written statement identifying the type of license and explaining the circumstances of each incident,
- b) a copy of the Notice of Hearing or other document that states the charges and allegations, and
- c) a copy of the official document which demonstrates the resolution of the charges or any final judgment.
- 3. Do you have a child support obligation in arrearage, which has not been previously reported to this insurance department?

If you answer yes,

- a) by how many months are you in arrearage?
- b) are you currently subject to and in compliance with any repayment agreement?
- c) are you the subject of a child support related subpoena/warrant?
- 4. In response to a "yes" answer to

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