

PRODUCER LICENSING TASK FORCE

- Producer Licensing (D) Task Force Nov. 17, 2024, Minutes
- Producer Licensing (D) Task Force Oct. 2024, Minutes (Attachment One)
- Adjuster Licensing (D) Working Group Nov. 6, 2024, Minutes (Attachment Two)
- Producer Licensing Uniformity (D) Working Group Nov. 7, 2024, Minutes (Attachment Three)
- Producer Licensing Uniformity (D) Working Group Sep. 10, 2024, Minutes (Attachment Four)
- Uniform Education (D) Working Group Nov. 13, 2024, Minutes (Attachment Five)
- Uniform Education (D) Working Group Oct. 29, 2024, Minutes (Attachment Six)
- Uniform Education (D) Working Group Oct. 9, 2024, Minutes (Attachment Seven)

Draft Pending Adoption

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Commissioner Navarro said the issue of fee caps has been discussed and that each state will determine what amendments to the model will be implemented. Commissioner Navarro made a motion, seconded by Commissioner Clark, to adopt the proposed amendments to the model. The motion passed with Alaska, Idaho, and Ohio abstaining and Texas voting no.

3. Discussed the Draft 1033 Waiver Template

Director Deiter said a small group of regulators is continuing to review the comments on the proposed template.

~~The group has finalized a decision on a representative list of states to be tested.~~

Draft: 11/12/24

Producer Licensing (D) Task Force
Virtual Meeting
October 31, 2024

The Producer Licensing (D) Task Force met Oct. 31, 2024. The following Task Force members participated: Larry D. Deiter, Chair (SD); Glen Mulready, Vice Chair, represented by Erin Wainner and Courtney Khodabakhsh (OK); Lori K. Wing-Heier represented by Kayla Erickson (AK); Mark Fowler represented by Antwionne Dunklin and Jimmy Gunn (AL); Ricardo Lara represented by Charlene Ferguson (CA); Doug Ommen (IA); Holly W. Lamber represented by Samantha Aldridge (IN); Sharon P. Clark (KY); Timothy J. Temple represented by Lorie Gasior (LA); Marie Grant represented by Lorelei Brillante (MD); Chlora Lindley-Myers represented by Jo LeDuc (MO); Mike Chaney represented by Vanessa Miller (MS); Eric Dunning represented by Martin Swanson (NE); D.J. Bettencourt represented by Joan LaCourse (NH); Mike Causey represented by Angela Hatchell (NC); Alice T. Kane represented by Victoria Baca (NM); Jon Godfread represented by Janelle Middlestead (ND); Judith L. French represented by Lindsey Jones (OH); Michael Humphreys represented by David Buono (PA); Elizabeth Kelleher Dwyer represented by Rachel Chester (RI); Cassie Brown represented by Matt Tapp (TX); Jon Pike represented by Randy Overstreet (UT); Scott A. White represented by Richard Tozer (VA); Mike Kreidler represented by Jeff Boughman (WA); Allan L. McVey represented by Robert Grishaber (WV); and Nathan Houdek represented by Melody Esquivel (WI).

1. Adopted its Summer National Meeting Minutes

Baughman made a motion, seconded by Ferguson, to adopt the Task Force's Aug. 13 minutes (*see NAIC Proceedings – Summer 2024, Producer Licensing (C) Task Force*). The motion passed unanimously.

2. Adopted its Task Force's 2025 proposed charges. The motion passed unanimously.

3. Adopted Amendments to the NAIC's Uniform Licensing Applications

Director Deiter said the Producer Licensing Uniformity (D) Working Group completed its review and adoption of proposed changes to the Individual Uniform Application on May 7 and then adopted proposed changes to the (Innp c3.008 T.8 (7h)-0w (r)8.1a19J08 T.8 1f8 (U)-3capTJ0 Tc56 (d)3.9i(T.8 ()3.9 Bu(T.8 .3 (3.0i.7 o)-3.6)3.9.3 (3.0s)o)1.3 E (p)

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Draft: 11/11/24

Adjuster Licensing (D) Working Group
Virtual Meeting
November 6, 2024

The Adjuster Licensing (D) Working Group of the Producer Licensing (D) Task Force met Nov. 6, 2024. The following Working Group members participated: Rachel Chester, Chair (RI); Lorie Gasior, Vice Chair (LA);

Draft: 10/21/24

Producer Licensing Uniformity (D) Working Group
Virtual Meeting
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Draft: 11/22/24

Tozer said the Working Group has been asked to review the chapters of the *State Licensing Handbook* that are relevant to uniform education. This includes Chapter 6—Prelicensing Education, Chapter 8—Testing Programs, and Chapter 14—Continuing Education. Tozer asked that the Working Group start by reviewing Chapter 6—Prelicensing. Tozer said the Working Group will review any comments received during its Nov. 13 meeting.

Having no further business, the Uniform Education (D) Working Group adjourned.

Working Group members participated: Richard Tozer, Chair (VA); Lorelei Brillante, Vice Chair (MD); Peggy Dunlap (AR); Charlene Ferguson (CA); Vanessa Miller (MS); Victoria Baca (NM); Lindsey Jones (OH); Courtney Khodabakhsh (OK); Rachel Chester (RI); Jodie Delgado (TX); and Jeff Baughman (WA).

1. Discussed CE Audit Guidelines/Procedures

Tozer said the Working Group discussed potential changes to the following states to determine the percentage of courses to be audited and the there were comments submitted that address whether audits should be possibility of coordinating efforts among states to avoid duplicating audits. used whether they should consider creating a new type of communication on board or distribution list for communication, potentially using the NAIC has been discussion about the need for guidelines on the use of shared audit t are a result of the audit findings.

changes, and Tozer said the draft would be exposed again and posted on the few. The Working Group will discuss a potential adoption of the draft during

Introduction Statements

Tozer said the Working Group has also discussed guidelines for course introduction statements in previous meetings. The Working Group then discussed which states provide introductory statements. Tozer said that he has worked with Brillante and NAIC support staff on creating a survey to collect information concerning state auditing for continuing education. Tozer said the survey will be distributed after this meeting for the Working Group to complete prior to its Oct. 29 meeting.

3. Discussed Review of *State Licensing Handbook* Chapters

Tozer said Khodabakhsh, chair of the Producer L48 Tdir okscer