

SUITABILITY IN ANNUITY TRANSACTIONS MODEL REGULATION

What are the state pages?

This chart is intended to provide readers with additional information to more easily access state statutes, regulations, bulletins or administrative rulings related to the NAIC model. Such guidance provides readers with a starting point from which they may review how each state has addressed the model and the topic being covered. The NAIC Legal Division has reviewed each state's activity in this area and has determined whether the citation most appropriately fits in the Model Adoption column, Previous Version column, or Related Activity column based on the definitions listed in the key below. The NAIC's interpretation may or may not be shared by the individual states or by interested readers.

How do you use them?

States and territories are listed alphabetically in the chart. Locate the state or territory you are interested in, and depending on which column the citation falls under, you will know whether the NAIC Legal Division has deemed a suitable citation. If you have questions or believe information related to a state should be updated, please contact Jennifer Neuerburg at jneuerburg@naic.org.

Disclaimer: This chart does not constitute a formal legal opinion by the NAIC staff on the provisions of state law and should not be relied upon as such. Nor does this state page reflect a determination as to whether a state meets any applicable accreditation standards. Every effort has been made to provide correct and accurate summaries to assist readers in locating useful information. Readers should consult state law for further details and for the most current information.

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STATE PAGE KEY:

MODEL ADOPTION

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NAIC MEMBER	MODEL ADOPTION	PREVIOUS VERSION	RELATED ACTIVITY
Connecticut	CONN AGENCIE REGS §§ 38a-432A-1 to 38a-432A-8 (2005/2022).		LICENSING BULLETIN-18 (2012).
Delaware	18 DEL CODE REGS § 1214 (2006/2021).		
District of Columbia		D.C. MUN. REG. tit. 26A, §§ 8400 to 8499 (2010/2011)	
Florida	FLA STAT § 627.4554 (2004/2023).		FLA ADMIN CODE ANN. R. 69B-162.011 (2009/2014) (forms required).
Georgia	GA. COMP. R. & REGS 120-2-94-.01 to 120-2-94-.10 (2006/2015).		

Guam

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NAIC MEMBER	MODEL ADOPTION	PREVIOUS VERSION	RELATED ACTIVITY
Iowa	IOWAADMIN CODER. 191-15.72 to 191.15.78 (2006/2020).		IOWAADMIN CODER. 191-15.8 (1963/2009) (life and annuity sales guidelines); 19-33.3 (1984/1999) (variable life); BULLETIN 2007-5 (2007); BULLETIN 2009-4 (2009).

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NAIC MEMBER	MODEL ADOPTION	PREVIOUS VERSION	RELATED ACTIVITY
Missouri		MO. CODE REGS ANN. tit. 20, § 4005.900 (2017).	MO. REV. STAT § 376.671 (2010); MO. CODE REGS ANN. tit. 20, § 4001.020 (1984/2002); § 700-146 (2005/2016).
Montana	MONT. CODE ANN. §§ 33		

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NAIC MEMBER	MODEL ADOPTION	PREVIOUS VERSION	RELATED ACTIVITY
South Carolina	S.C. CODE ANN. REGS 69-29 (2022).		
South Dakota	S.D. CODIFIED LAWS §§ 58-33A-13 to 58-33A-27 (2008/2022).		S.D. ADMIN R. § 58-28-33 (2003); BULLETIN 2008-5 (2008).
Tennessee	TENN COMP. R. &		