

STOCKHOLDERS INFORMATION SUPPLEMENT  
SCHEDULE SIS

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Section 1. General Instructions

The Stockholder Information Supplement shall be completed by all stock companies incorporated in the United States that have 100 or more stockholders. The supplement shall be filed with the insurance commissioner of the company's domiciliary state as a part of its annual statement. The information required to be contained in this supplement is to be furnished to the best of the knowledge of the company. Where appropriate, the company should obtain the required information, in writing, from its directors or officers and from any person known to the company to be the beneficial owner of more than ten percent (10%) of any class of equity securities.

The term "officer" means a president, vice president, treasurer, actuary, secretary, controller and any other person who performs for the company functions corresponding to those performed by the foregoing officers.

Section 2. Financial Reporting to Stockholder

- A. Did the company distribute to its stockholders prior to the [insert current year] annual meeting an annual report for the year [insert preceding year]?

Answer \_\_\_\_\_.

If answer is "Yes" attach copy. If answer is "No" explain in detail below. Attach separate sheet if necessary.

- B. Will the company distribute to its stockholders prior to the [insert following year] annual meeting an annual report for the year [insert current year]?

Answer \_\_\_\_\_.

If answer is "Yes" a copy of the report shall be forwarded to the insurance commissioner of the company's domiciliary state at the same time as it is distributed to stockholders. If answer is "No" explain in detail below. Attach separate sheet if necessary.

- C. If an annual report to stockholders was distributed for the year [insert preceding year];

- (1) Was the distribution prior to or contemporaneous with the solicitation of proxies in respect of the annual meeting?

Answer \_\_\_\_\_.

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If the answer is "No" explain in detail below. Attach separate sheet if necessary.

- (2) Did it contain the following financial statements (indicate answer in Column A) and were the financial statements prepared substantially on the basis (individual or ~~data~~) as required to be presented in the company's annual statement (indicate answer in Column B)?

Column A	Column B
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- (2) transaction as to which the company, or any of its subsidiaries, was or is to be a party.  
Information as to all options to purchase securities of the company granted to or

## Stockholders Information Supplement

