

2020 VISION Filing Fees by Detail Product Code
Effective as of January 1, 2020

| Department | Code | Name | 2020 Fee |
|------------|-------|------|----------|
| Appeals | 36104 | | |

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|----------------|-------|---------------------------------------|-----------|
| Municipal | 21063 | Muni Renumbering Request | \$ - |
| Mutual Fund | 16002 | Mutual Funds Annuals | \$ 500 |
| Mutual Fund | 16004 | Mutual Funds Expired Info Request | \$ 300 |
| Mutual Fund | 16001 | Mutual Funds Initials | \$ 775 |
| Mutual Fund | 16003 | Mutual Funds Rejection | \$ 300 |
| Private Letter | 26003 | Private Letter Expired Info Request | \$ 300 |
| Private Letter | 26001 | Private Letter Initial | \$ 225 |
| Private Letter | 26005 | Private Letter Non-Billable Filing | \$ - |
| Private Letter | 26004 | Private Letter Rejected Filing | \$ 225 |
| Private Letter | 26002 | Private Letter Subsequent | \$ 225 |
| QUSFI | 15006 | QUSF Annual | \$ 750 |
| QUSFI | 15005 | QUSFI Initial | \$ 1,500 |
| RTAS | 25001 | Advance Rating Ser Corp (Insurer) | \$ 15,750 |
| RTAS | 25000 | Advance Rating Ser Corp (Non Insurer) | \$ 25,000 |
| RTAS | 25004 | Advance Rating Ser ETF (Insurer) | \$ 15,750 |
| RTAS | 25003 | Advance Rating Ser ETF (Non Insurer) | \$ 25,000 |
| RTAS | 25006 | Working Capital Fin Inv | \$ 10,000 |
| RTAS | 25008 | Working Capital Fin Inv Annual | \$ 1,725 |
| RTAS | 25007 | Working Capital Fin Inv Prev | \$ 5,000 |

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Sovereign