

SVO-Identified Preferred Stock ETF List

ETFs Eligible to be Reported as Preferred Stock

December 31, 2021

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List of Approved ETFs for Reporting as Preferred Stock as of December 31, 2021

An insurance company that purchases an ETF whose name was added by the SVO to:

- the _____ or to
- the _____,

_____ if they wish to obtain a final NAIC Designation for reporting purposes. The Regulatory Treatment Analysis Services ("RTAS") process used to evaluate ETFs under "The Regulatory Treatment Analysis Service – Emerging Investment Vehicle" section in Part Two and the "NAIC Fund Lists" section in Part Three

First Trust Preferred Securities and Income ETF	33739E108	Diversified
Invesco Preferred ETF	46138E511	Non-diversified
iShares Preferred and Income Securities ETF	464288687	Diversified
SPDR ICE Preferred Securities ETF	78464A292	Diversified