

%XVLQHVV (QWLW\ 1DPH		<input type="radio"/> SRUDWLRQ, )RURD WLRQ (D,WH	
		PRQWK BBB GD\ BBB \HDU BBBB	
,I DVVLJQHG 1DWLRQDO 3URGXFHU 1XPEHU 1315\$ )LUP &HQWUD,0		BBBOLWDBWLRQ 'HSRVLWR	
/LVW DQ\ RWKHU DVVXPHG XIQG WUWZKR XK \BQ LDVHREXWUDGWRQ		DRR\FLOH &RXQU\ RI	
GRLQJ EXVLQHVV RU LQWHQG WR GR EXVLQHVV			
,V WKH EXVLQHVG VZHQW IDWLQD DROG LEDQ NQ VWLW		1R HV	
<input type="radio"/> %XVLQHVV \$GGUHV		&LW\ <input type="radio"/> 6W <input type="radio"/> WH WLS &RG	
3KRQH 1XPEHU LQFOXGHQ\W 1 X P E %XVLQHVV :HE		6LWH \$GGUHV %XVLQHVV (ODLO	
<input type="radio"/> 0DLQJ \$GGUHV		<input type="radio"/> 3 2 % <input type="radio"/> &LW\ <input type="radio"/> 6WDWH <input type="radio"/> =LS &RGH	

'HVLJQDWHG 5HVSQRVLEOH /LFHQVHG 3URGXFHU

,GHQL\ DW OHDVW RQH 'HVLJQDWHG SRQWLQJ OHL EOH WLFH EXPRISOHVROGHEZDZ VW KX QW DQG U  
 VW DSH Matrix of State Requirements approved for jurisdictions that require designated/responsible licensed producer to be an officer, director or partner  
 of the business entity.

1DPH	661	131	BBBBBBBBBBBBBBBBBBBBBBBB
1DPH	661	131	BBBBBBBBBBBBBBBBBBBBBBBB

1DPH	7LWOH	661	(,1	' 2 % BBBB BBBB B2ZR	RI RZQHUV
1DPH	7LWOH	661	(,1	BBBBB'BBB2ZQHBI RZQHUI	WKLS LQV
1DPH	7LWOH	661	(,1	BBBBB'BBB2ZQHBI RZQHUI	WKLS LQV
1DPH	7LWOH	661	(,1	BBBBB'BBB2ZQHBI RZQHUI	WKLS LQV
1DPH	7LWOH	661	(,1	BBBBB'BBB2ZQHBI RZQHUI	WKLS LQV
1DPH	7LWOH	661	(,1	BBBBB'BBB2ZQHBI RZQHUI	WKLS LQV

30HDVH QRWH WKH DSSOLFDWLRQ PD\HQV XJHY \R X GDURHQW EYLQJ ZKROK R KSV W EWD



3OHDVH QRWH WKH DSSOLFDWLRQ PD\HQV XUH\LRX GDURHQW EYLQJ QORRQR ISV W BWD  
UHIHUUHQFH WKH 13DWRGRQDQ 5QVX VZQFGLSE VLRV H DW



8QLIRUP \$SSOLFDWLRQ IRU  
%XVLQHVV (QWLW\ /LFHQVH 5HJLVWUDWLRQ  
\$SSOLFDQW 1DPH BBBB

% D JNR X QGH VWLRQV



