



3/31/2023

Informational Notice— Life AI/ML Survey
Sent to Each Company's Designated Contact for this Survey
Unique Survey Link for CoCode : _____

This informational notice is being distributed on behalf of Colorado, Connecticut, Illinois, Iowa, Louisiana, Minnesota, Nebraska, North Dakota, Oregon, Pennsylvania, Rhode Island, Vermont, Virginia, and Wisconsin (the "Requesting States")

The Requesting States are conducting analysis of various insurers to gain a better understanding of the industry's use and governance of big data, as used in an Artificial Intelligence and Machine Learning (AI/ML) system. The NAIC, pursuant to a confidentiality agreement with each Requesting State, will collect and compile the information on behalf of and under the direction of the Requesting States.

The company's unique survey link is provided at the top of this notice. There is no user ID or password required to participate in the survey. Here's what you need to do:

1. The primary contact at each company may share the survey link. Anyone within the company having access to the survey link may complete the survey.
2. The survey link allows multiple users to work on sections of the survey at the same time. It is important to assign sections of the survey to appropriate subject matter experts within the company to properly complete the survey. Respondents should ONLY update responses in their assigned sections to avoid overwriting responses in unassigned sections. Overwritten responses are not stored in the system; only the most recent answer is saved.
3. There is no formal submission button for the survey. Responses are automatically recorded once entered. Any person at a company who has access to the company's specific survey link may continue to update responses to survey questions until the survey closes on May 31. At that time, responses will become final.

Your company will receive an examination call letter at the end of April from the Requesting States to respond to the survey. The NAIC will distribute the call letter on behalf of the Requesting States. The survey deadline is May 31.

To allow additional time for your company to prepare its responses, documentation relevant to the survey can be found on the [Life AI/ML Survey webpage](#). The survey webpage contains a copy of the survey, survey-related

provided to each email and, where appropriate, the questions with corresponding answers will be

added to