

## Filing Deadlines

Actuarial Opinion on Synthetic Guaranteed Investment Contracts (Life/Fraternal) (PDF file only)

Actuarial Opinion on X-Factors (Life/Fraternal) (PDF file only)

Actuarial Opinion Required by the Modified Guaranteed Annuity Model Regulation (Life/Fraternal) (PDF file only)

C-3 RBC Certifications Required Under C-3 Phase I (Life/Fraternal) (PDF file only – to be filed with Risk-Based Capital Report)

C-3 RBC Certifications Required Under C-3 Phase II (Life/Fraternal) (PDF file only – to be filed with Risk-Based Capital Report)

Reasonableness of Assumptions Certification Required by Actuarial Guideline XXXV (Life/Fraternal) (PDF file only)

Reasonableness and Consistency of Assumptions Certification Required by Actuarial Guideline XXXVI (Updated Average Market Value) (Life/Fraternal) (PDF file only)

Reasonableness of Assumptions Certification for Implied Guaranteed Rate Method Required by Actuarial Guideline XXXVI (Life/Fraternal) (PDF file only)

Reasonableness and Consistency of Assumptions Certification Required by Actuarial Guideline XXXV (Life/Fraternal) (PDF file only)

Reinsurance Attestation Supplement (Property) (PDF file only)

Relief from the Five-year Rotation Requirement for Lead Audit Partner (Property, Life/Fraternal)

Supplemental

The NAIC filing deadlines and requirements for the **2023 quarterly** electronic filings are as follows.

- May 15, 2023 Quarterly Statement Filing as of March 31, 2023 (Property, Life/Fraternal, Health, Title)  
Trusteed Surplus Statement – Quarter Ending March 31, 2023 (Property, Life/Fraternal)  
Supplement A to Schedule T (Medical Professional Liability Supplement) – Quarter Ending March 31, 2023 (Property)  
Medicare Part D Coverage Supplement – Quarter Ending March 31, 2023 (Property, Life/Fraternal, Health)  
Reasonableness of Assumptions Certification Required by Actuarial Guideline XXXV – Quarter Ending March 31, 2023 (Life/Fraternal) (PDF file only)  
Reasonableness and Consistency of Assumptions Certification Required by Actuarial Guideline XXXV – Quarter Ending March 31, 2023 (Life/Fraternal) (PDF file only)  
Reasonableness of Assumptions Certification for Implied Guaranteed Rate Method Required by Actuarial Guideline XXXVI – Quarter Ending March 31, 2023 (Life/Fraternal) (PDF file only)  
Reasonableness and Consistency of Assumptions Certification Required by Actuarial Guideline XXXVI (Updated Average Market Value) – Quarter Ending March 31, 2023 (Life/Fraternal) (PDF file only)  
Reasonableness and Consistency of Assumptions Certification Required by Actuarial Guideline XXXVI (Updated Market Value) – Quarter Ending March 31, 2023 (Life/Fraternal) (PDF file only)  
Director and Officer Insurance Coverage Supplement – Quarter Ending March 31, 2023 (Property)
- July 1, 2023 PBR Exemption due to state 7/1 and NAIC 8/15 (Life/Fraternal) (PDF file only)
- Aug. 1, 2023 Communication of Internal Control Related Matters Noted in Audit (Property, Life/Fraternal, Health, Title) (PDF file only)
- Aug. 15, 2023 Quarterly Statement Filing as of June 30, 2023 (Property, Life/Fraternal, Health, Title)  
Trusteed Surplus Statement – Quarter Ending June 30, 2023 (Property, Life/Fraternal)  
Supplement A to Schedule T (Medical Professional Liability Supplement) – Quarter Ending June 30, 2023 (Property)  
Medicare Part D Coverage Supplement – Quarter Ending June 30, 2023 (Property, Life/Fraternal, Health)  
Reasonableness of Assumptions Certification Required by Actuarial Guideline XXXV – Quarter Ending June 30, 2023 (Life/Fraternal) (PDF file only)  
Reasonableness and Consistency of Assumptions Certification Required by Actuarial Guideline XXXV – Quarter Ending June 30, 2023 (Life/Fraternal) (PDF file only)

Reasonableness of Assumptions Certification for Implied Guaranteed Rate Method Required by Actuarial Guideline XXXVI – Quarter Ending June 30, 2023 (Life/Fraternal) (PDF file only)

Reasonableness and Consistency of Assumptions Certification Required by Actuarial Guideline XXXVI (Updated Average Market Value) – Quarter Ending June 30, 2023 (Life/Fraternal) (PDF file only)

Reasonableness and Consistency of Assumptions Certification Required by Actuarial Guideline XXXVI (Updated Market Value) – Quarter Ending June 30, 2023 (Life/Fraternal) (PDF file only)

Director and Officer Insurance Coverage Supplement – Quarter Ending June 30, 2023 (Property)

PBR Exemption due to state 7/1 and NAIC 8/15 (Life/Fraternal)

For general questions concerning the NAIC Financial Database and electronic filings, contact the NAIC FDR Data Support Analysts at **(816) 783-8600**, or e-mail [FDAdmin@naic.org](mailto:FDAdmin@naic.org).