

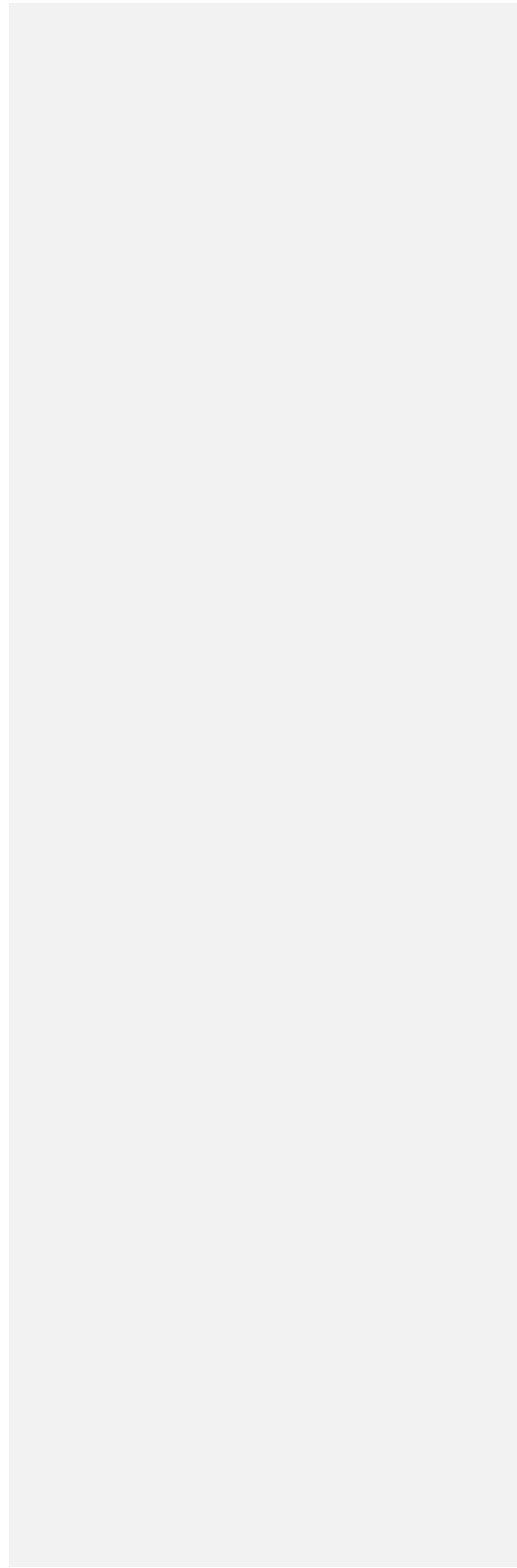
Company Licensing Best Practices Handbook

Introduction

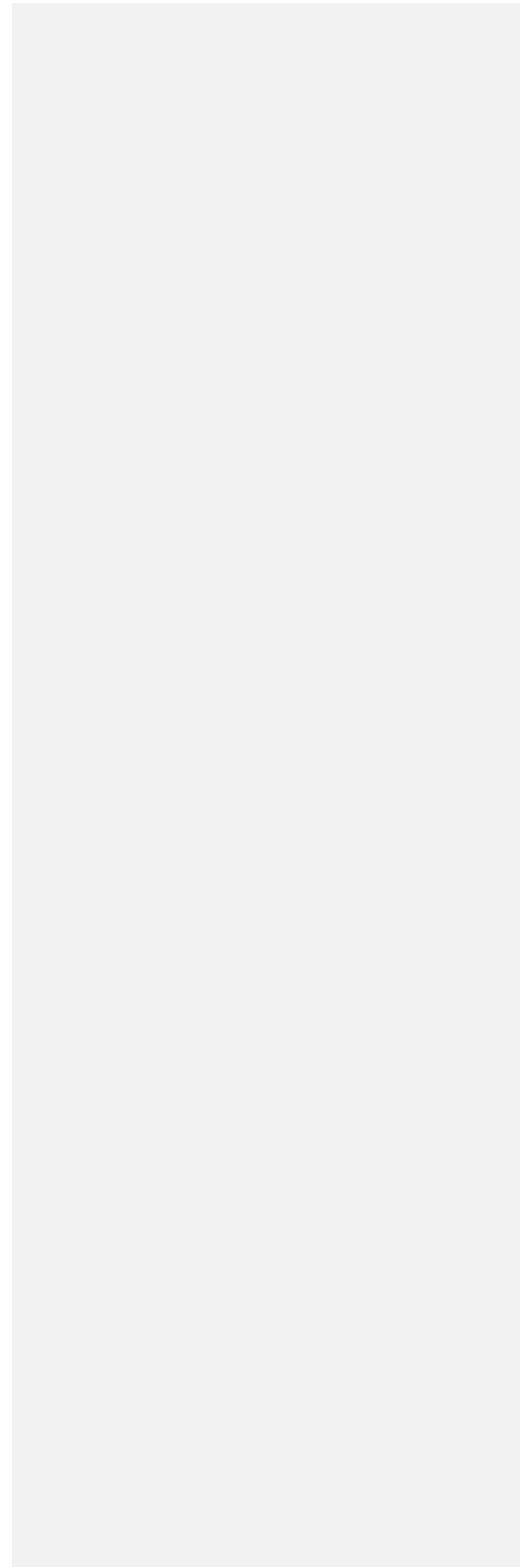
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Appendix B – Use of Electronic Documents	4
Appendix C – Review of Electronic Application Coordination and Processing (REACAP)	4
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The Company Licensing Function

Interstate Communication

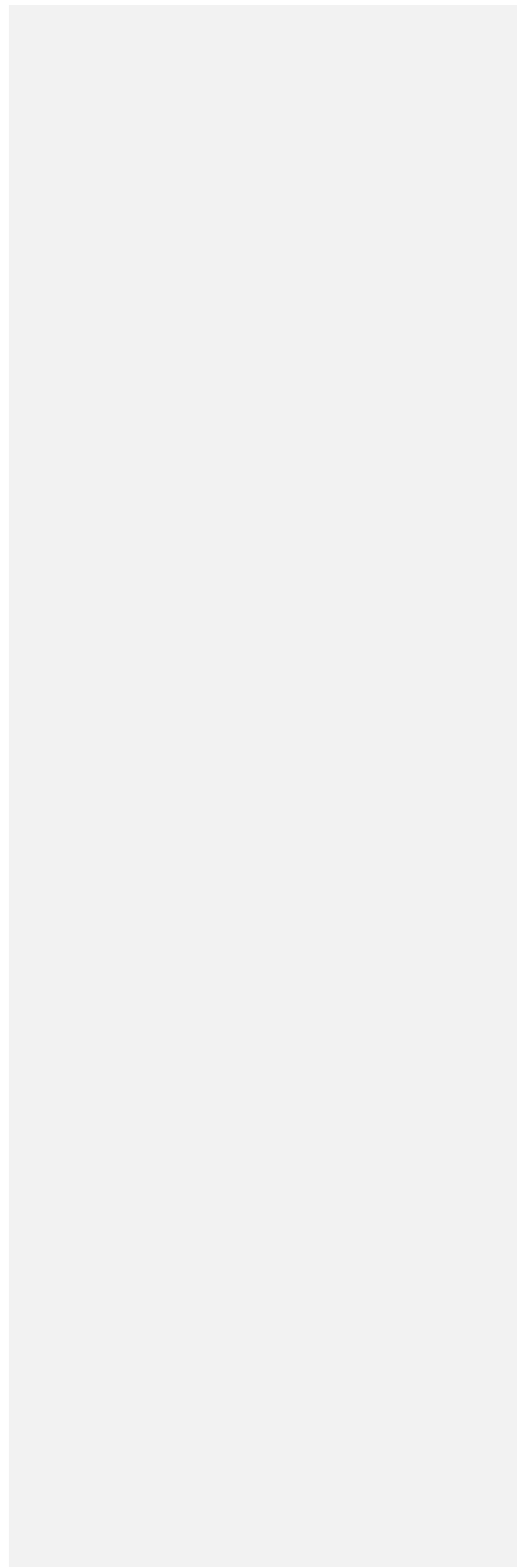


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Company Licensing Best Practices Handbook

Introduction



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Appendix A – The Uniform Certificate of Authority Application (UCAA)

Appendix B – Use of Electronic Documents

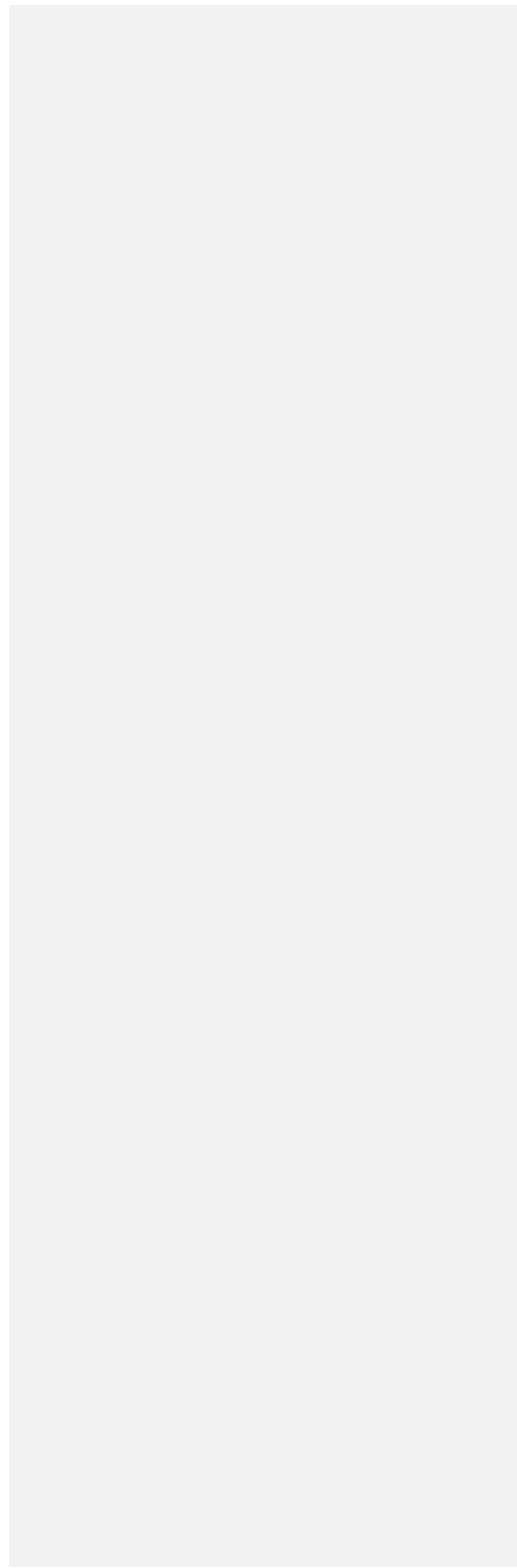
Appendix C – Review of Electronic Application Coordination and Processing (REACAP)

Appendix D – Form A Review Best Practices

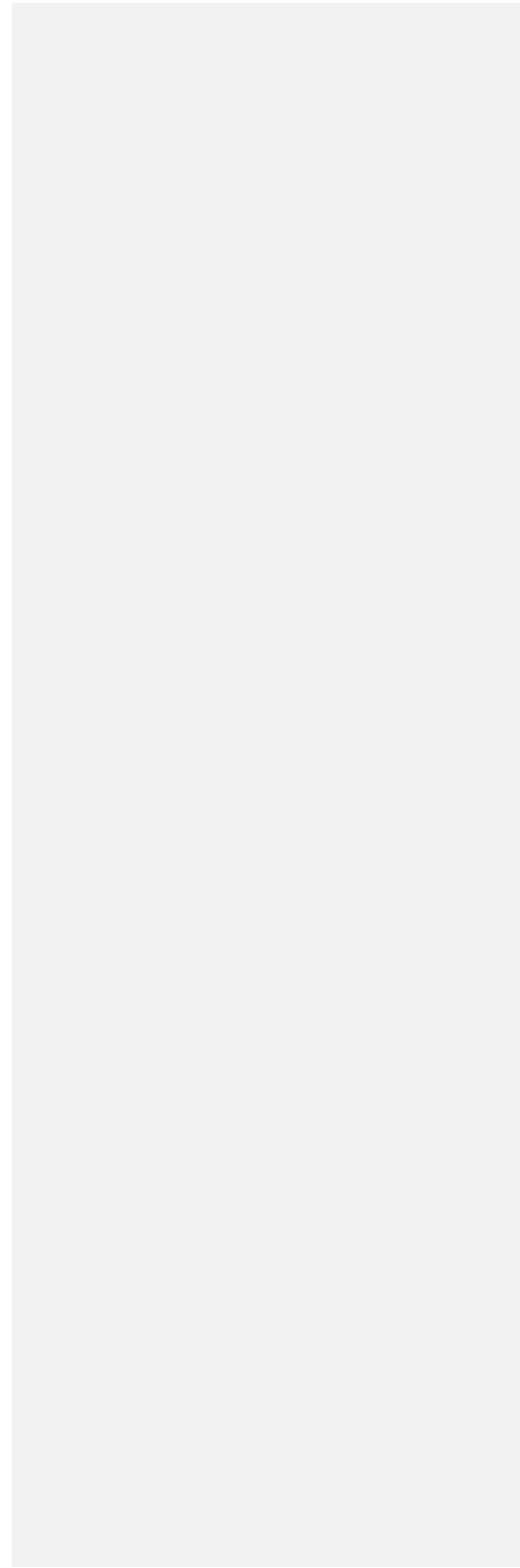
Appendix E – Speed to Market

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The Company Licensing Function

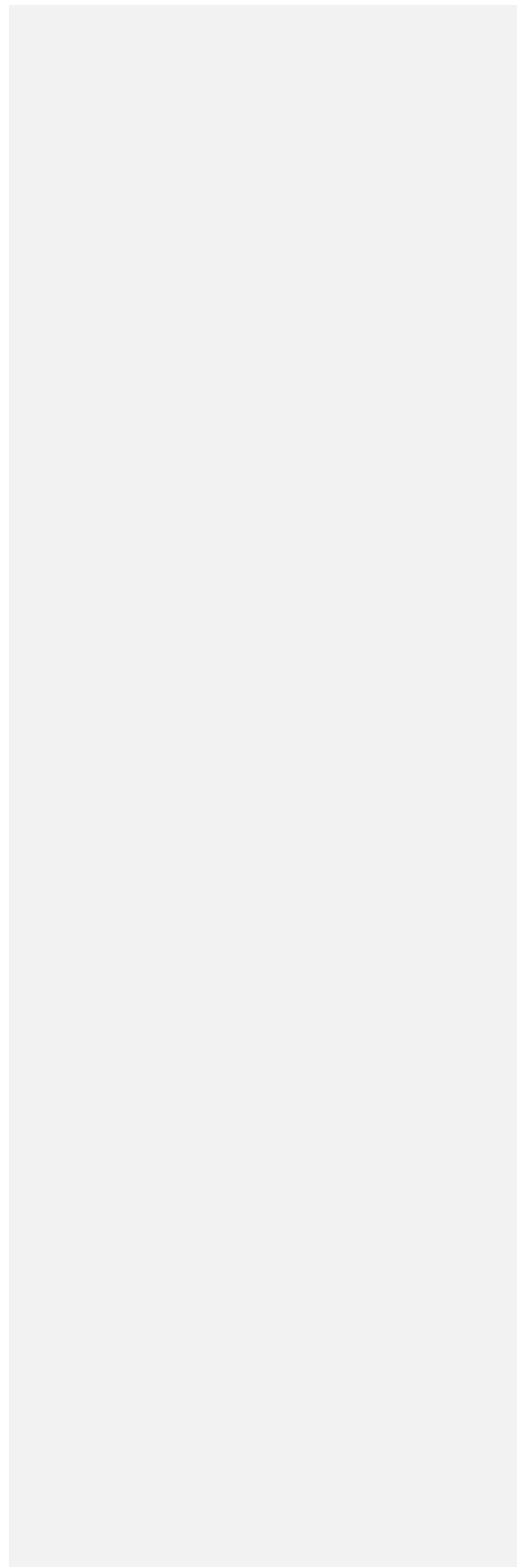


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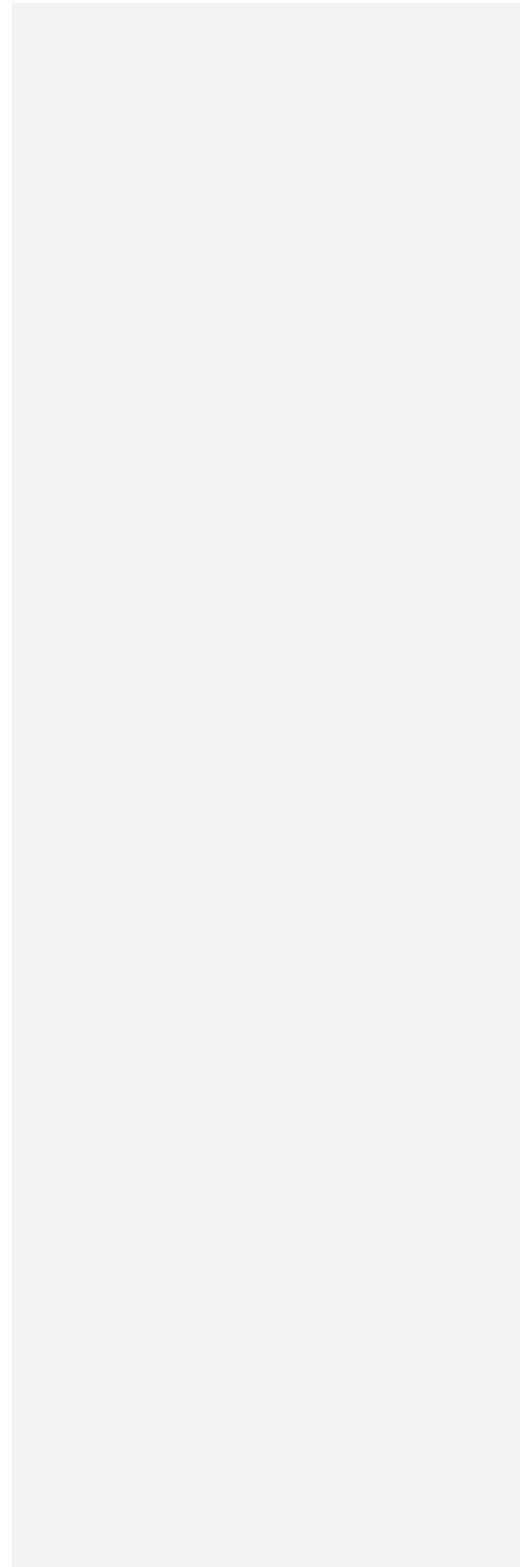


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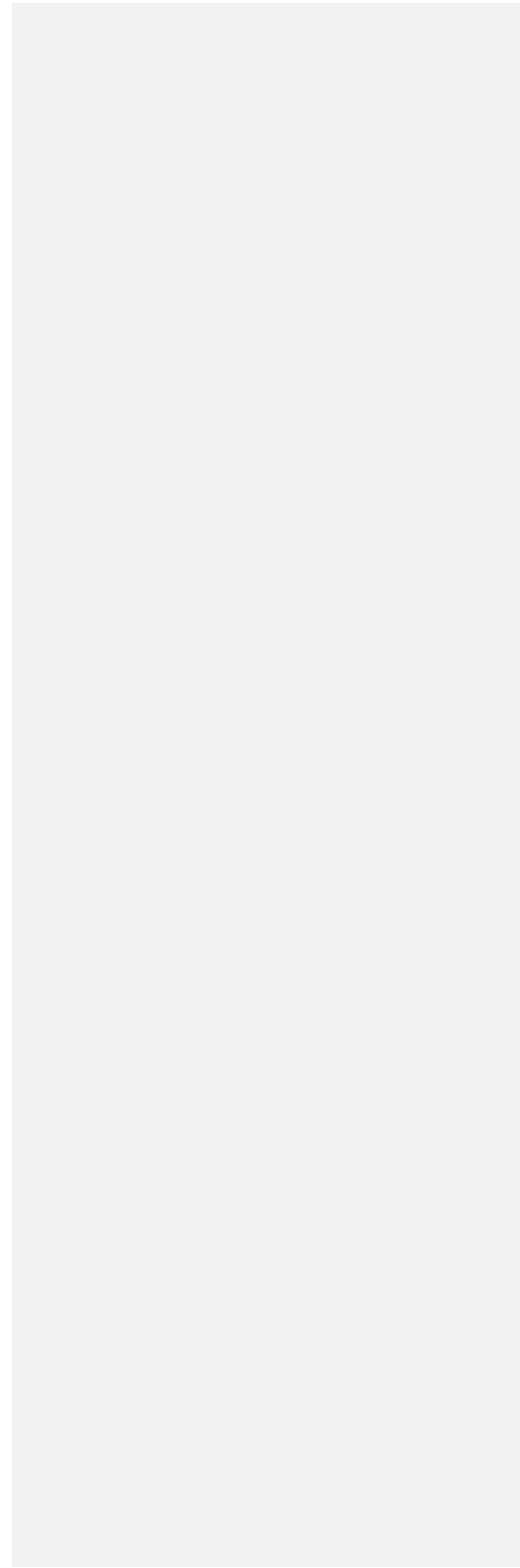
Interstate Communication



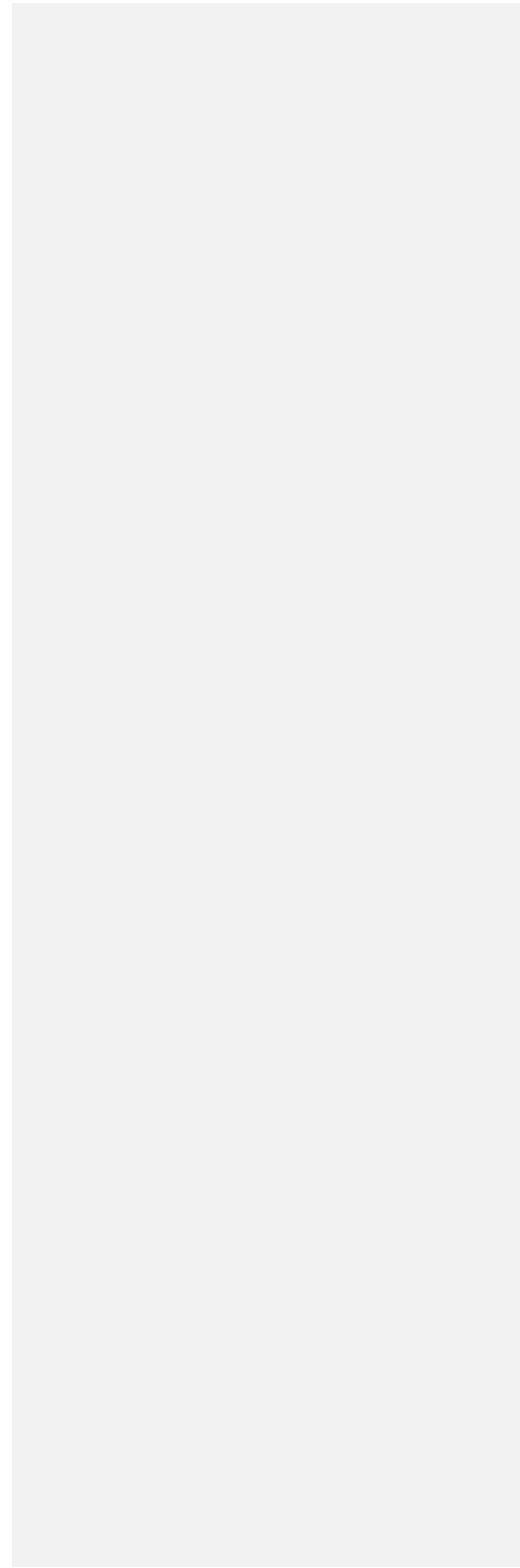
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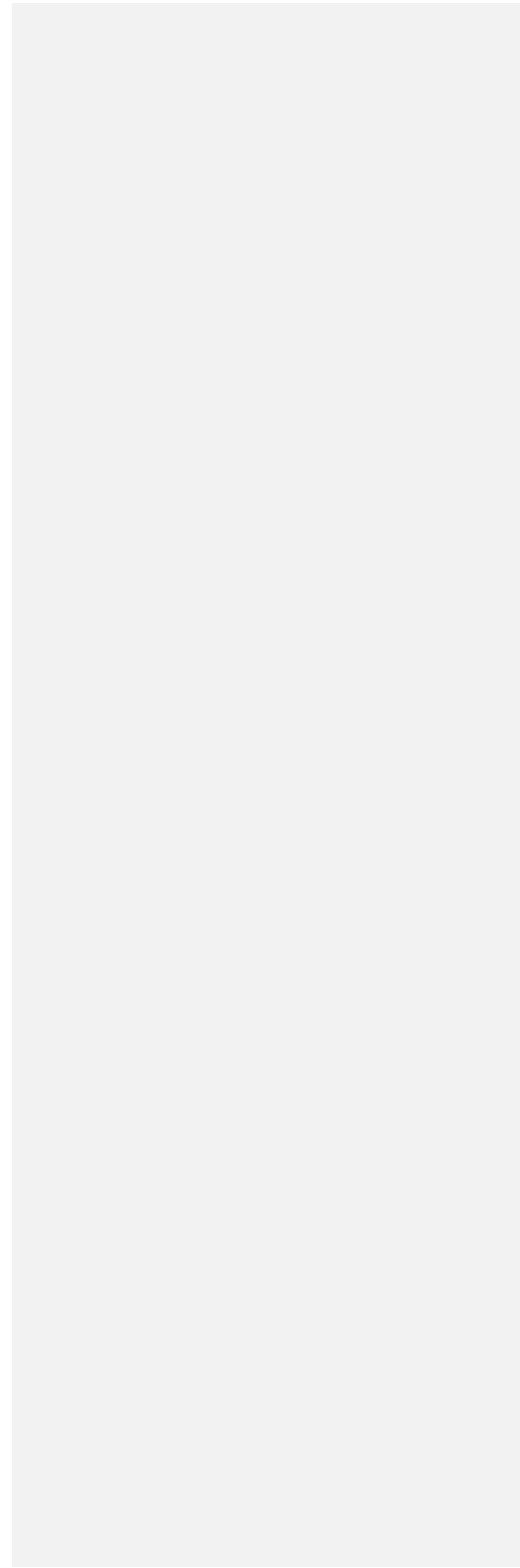
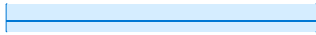


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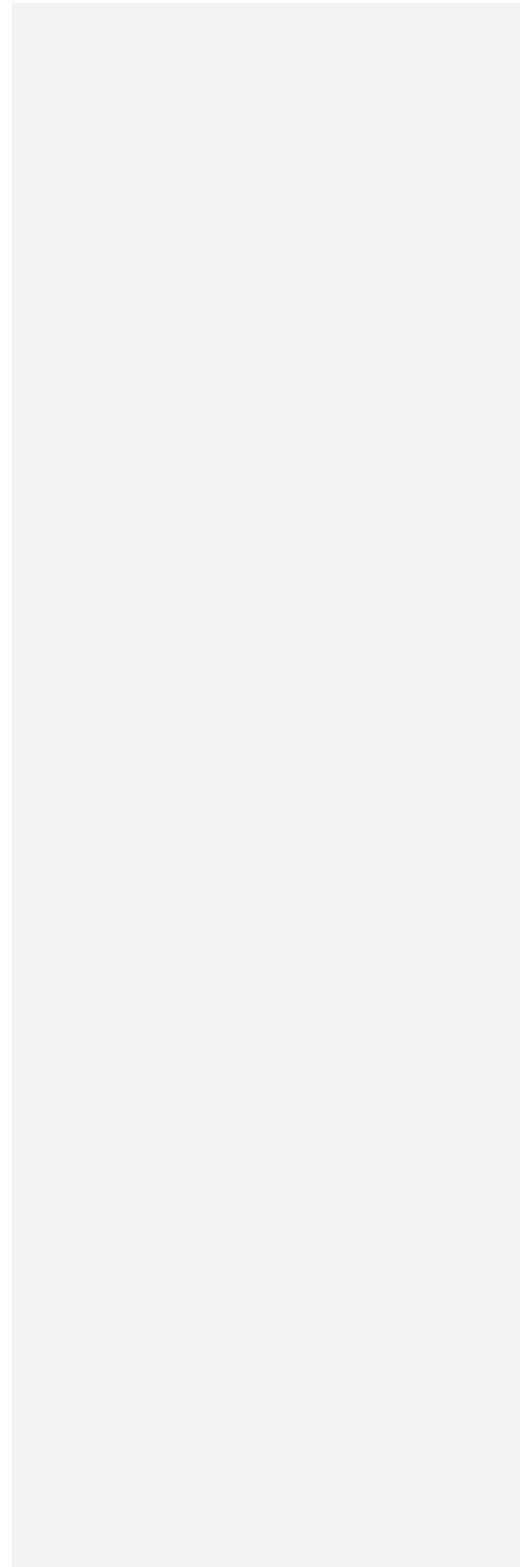
Prioritization Framework

The Financial Analysis Handbook

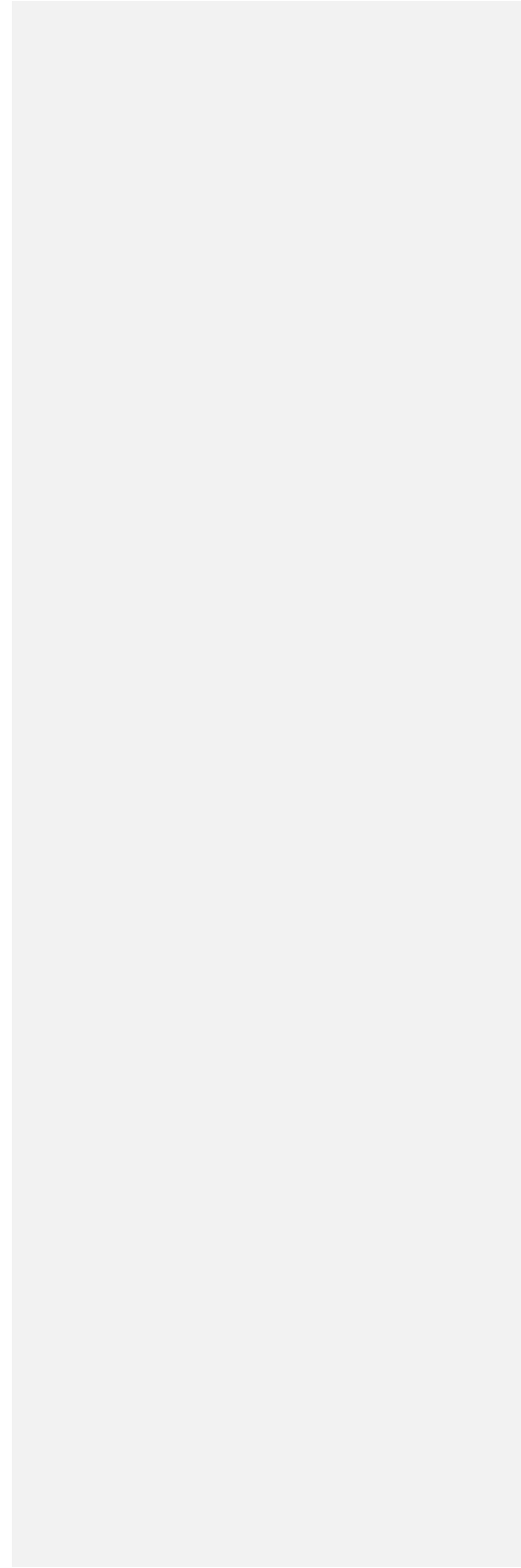
Financial Analysis Handbook



Company Licensing Best Practices Handbook

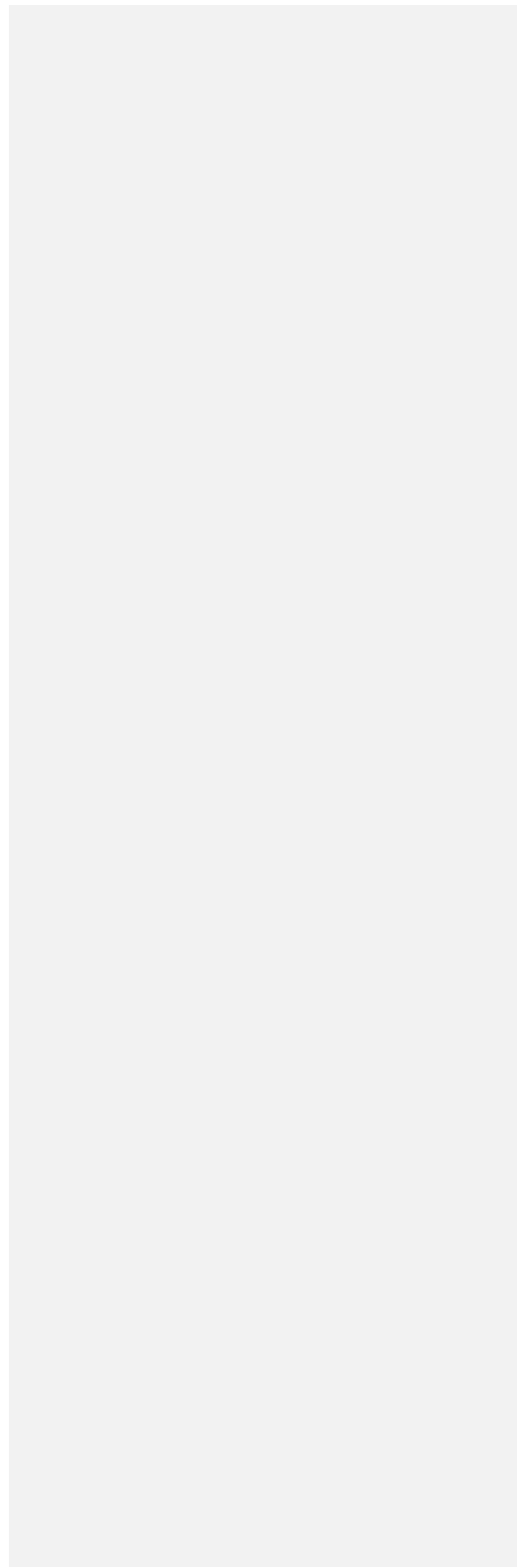


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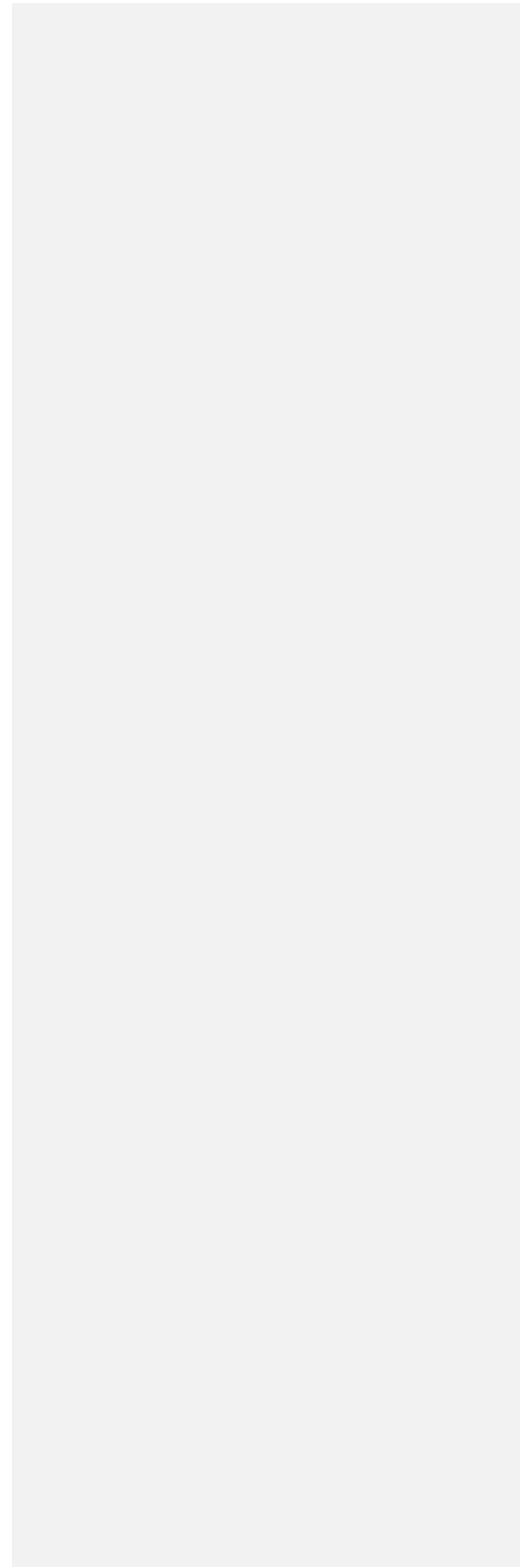


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Best Practices Application Review

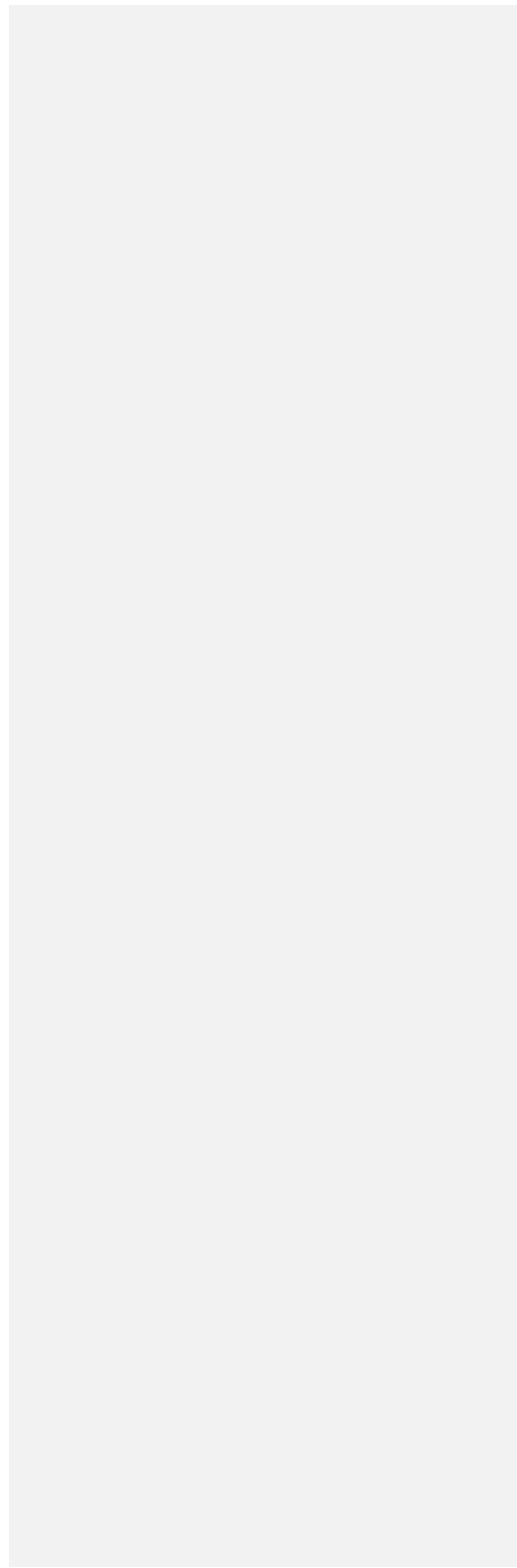


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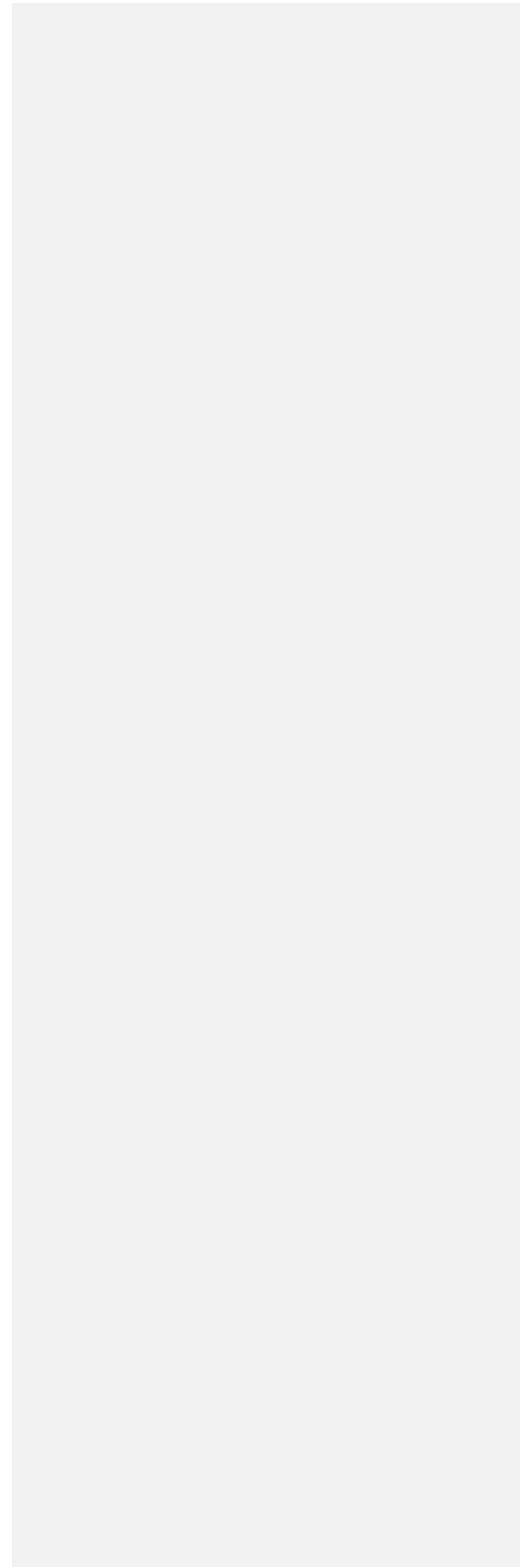


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**Insurance Data Security Model Law
Financial Examiners Handbook**



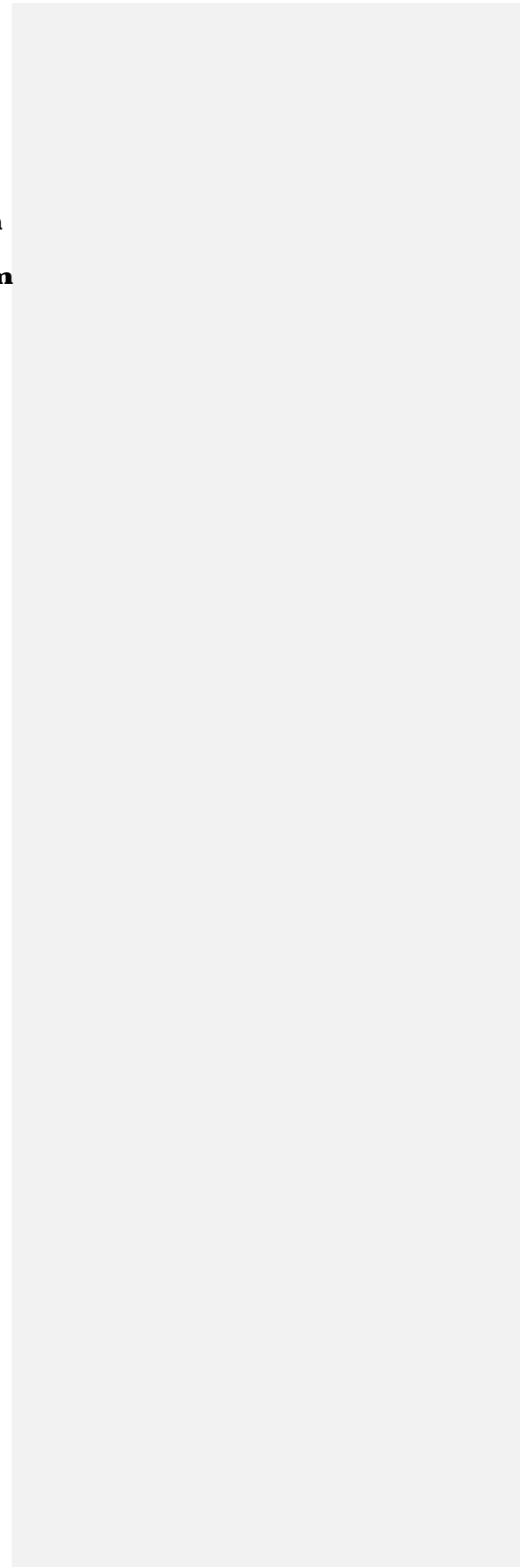
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Note: Generally, the scope of the analysis of current condition would depend on the prioritization of the Applicant Company. With a primary application (not a redomestication):

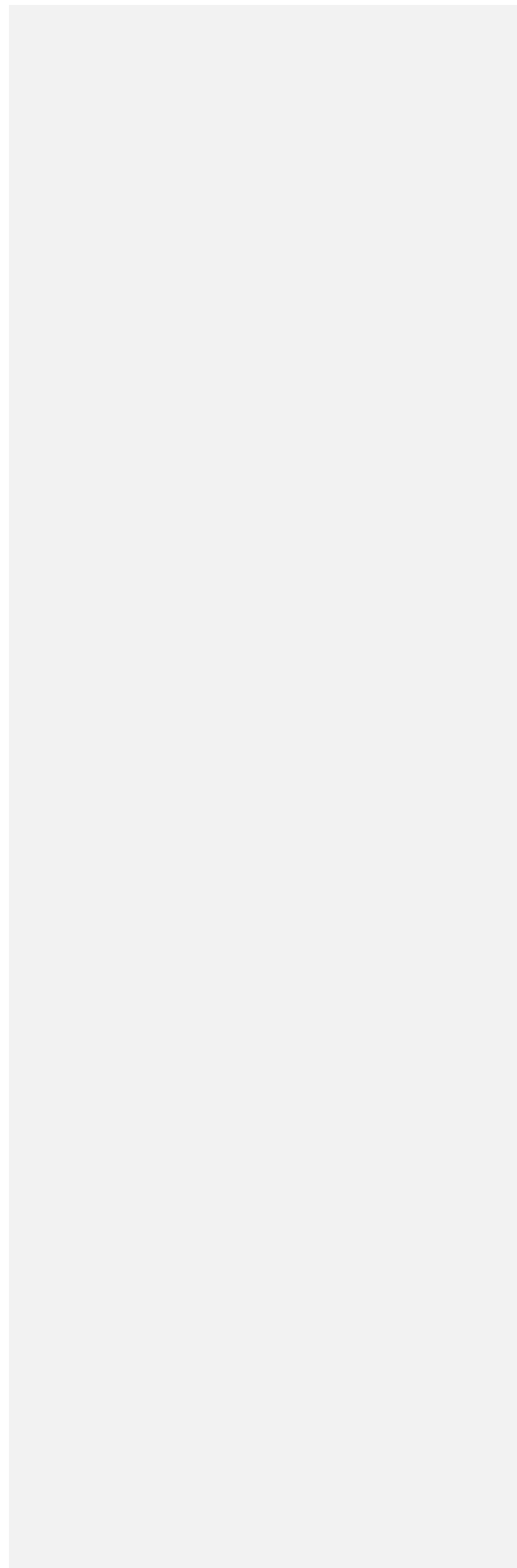
- i. If it is a stand alone company, there is no information upon which to establish m



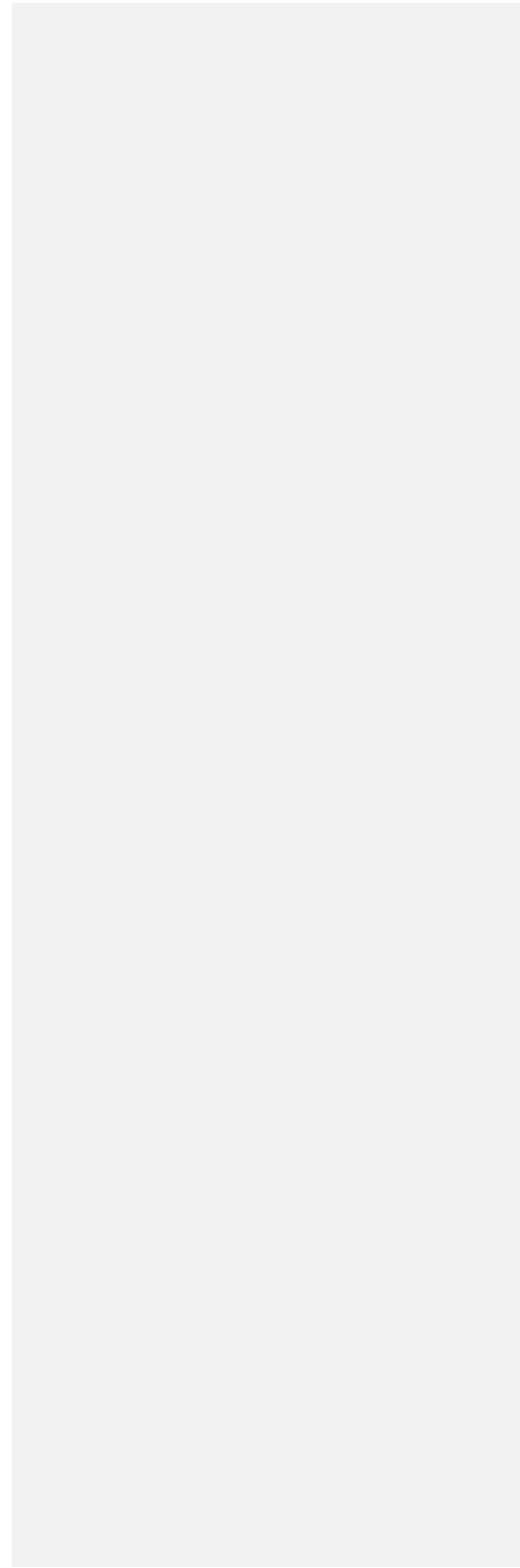
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Financial Analysis Handbook

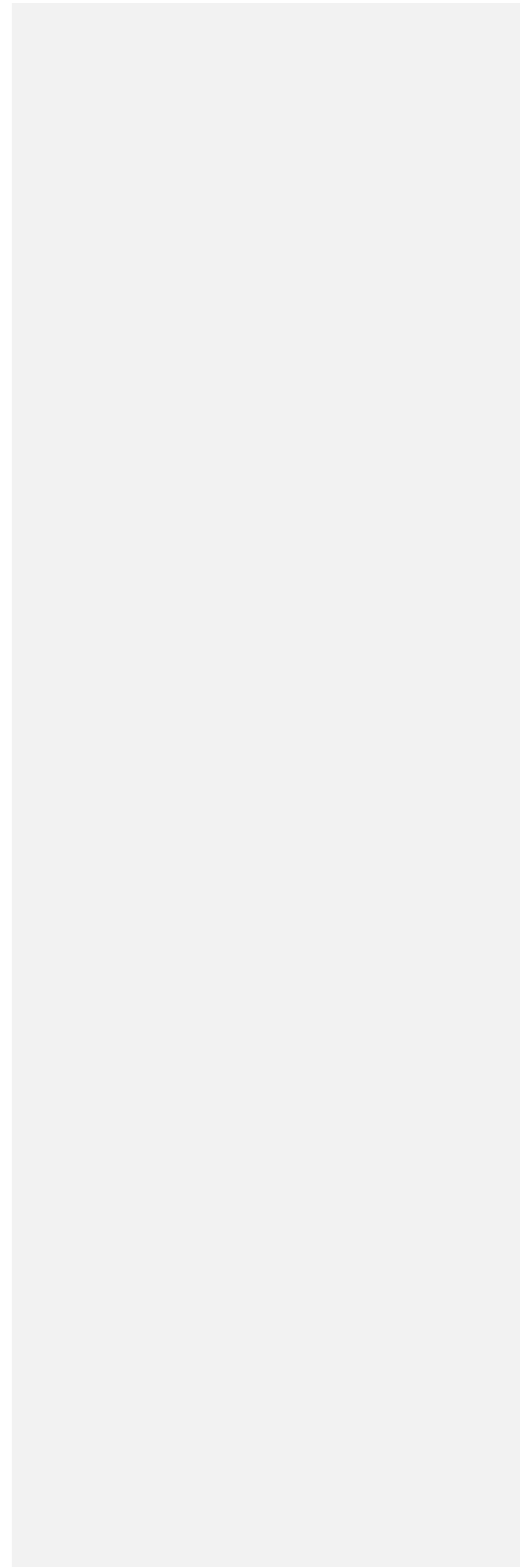
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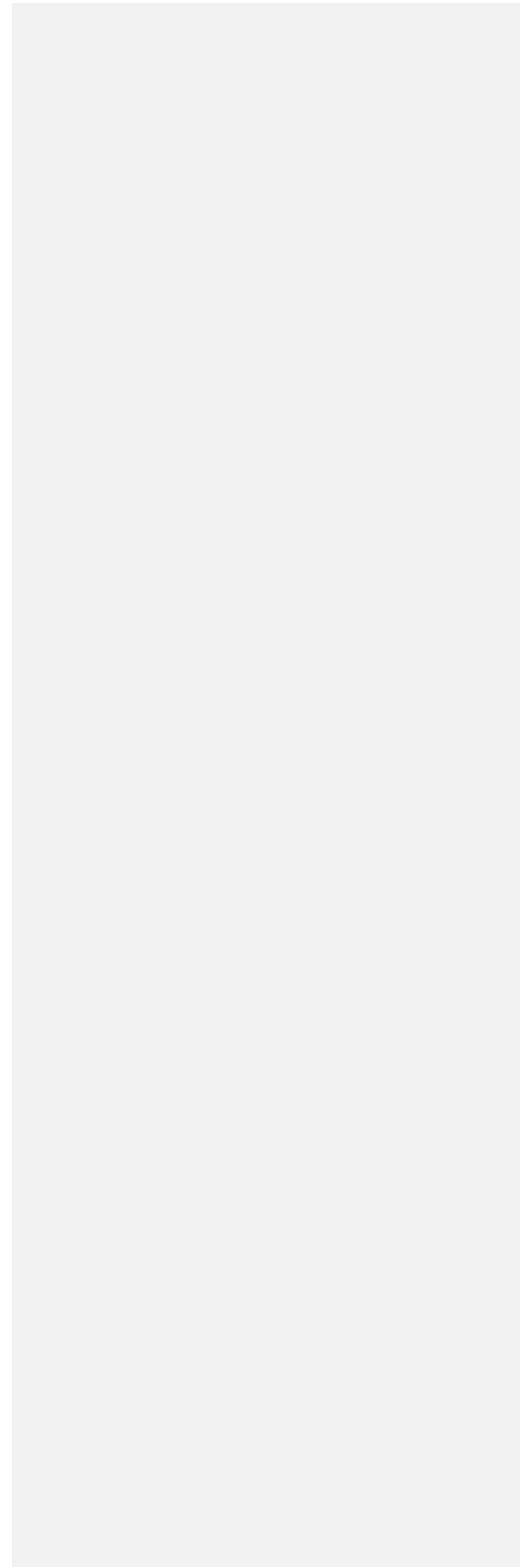
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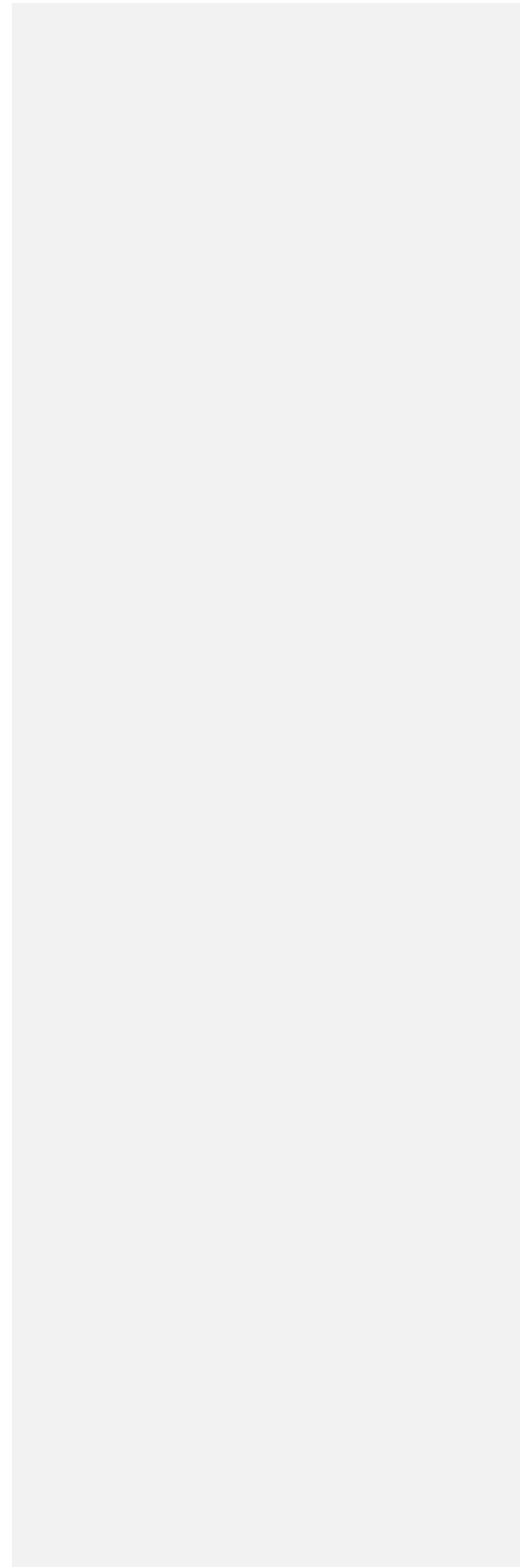


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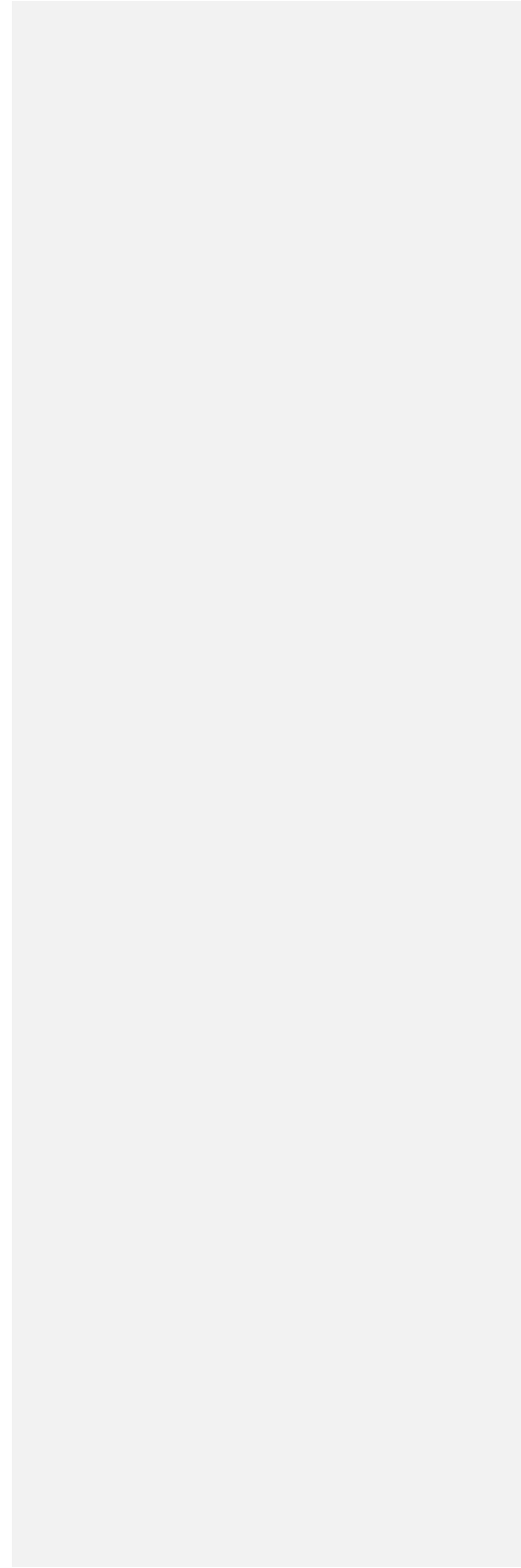


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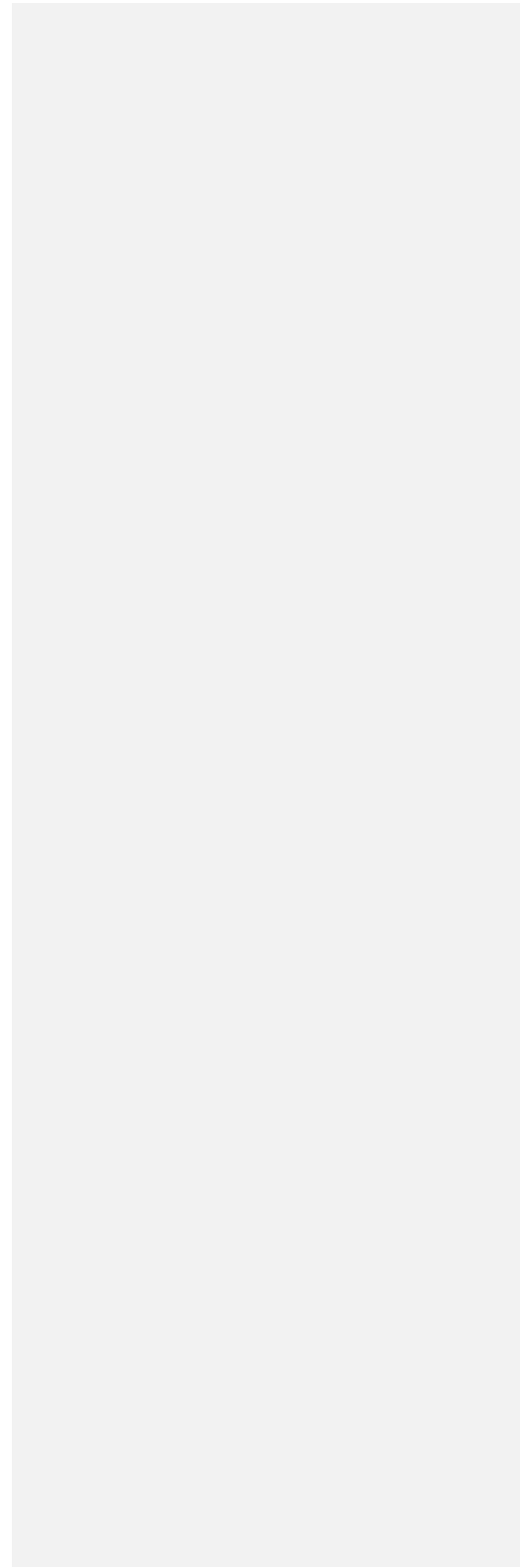
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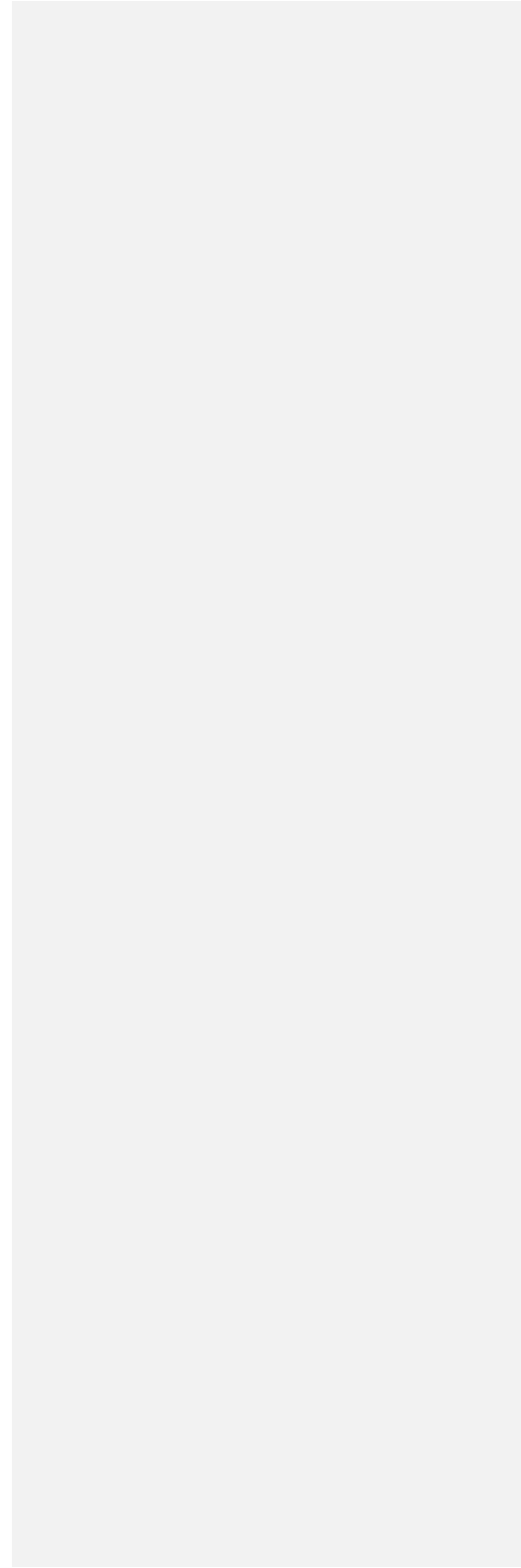
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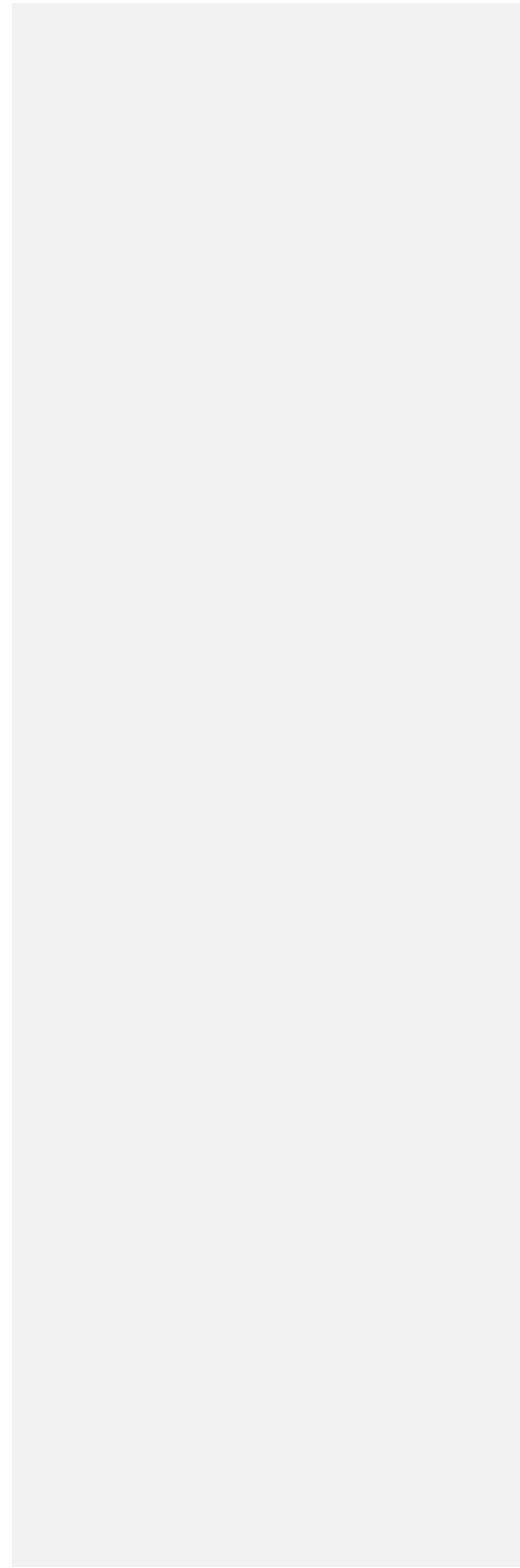
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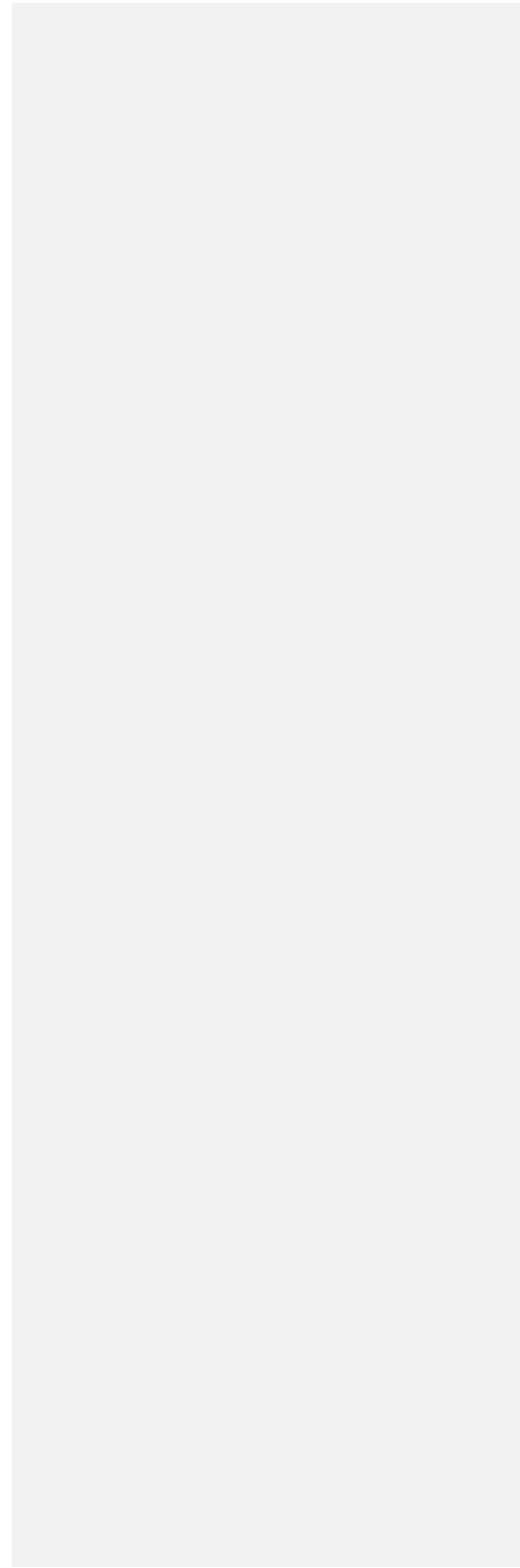
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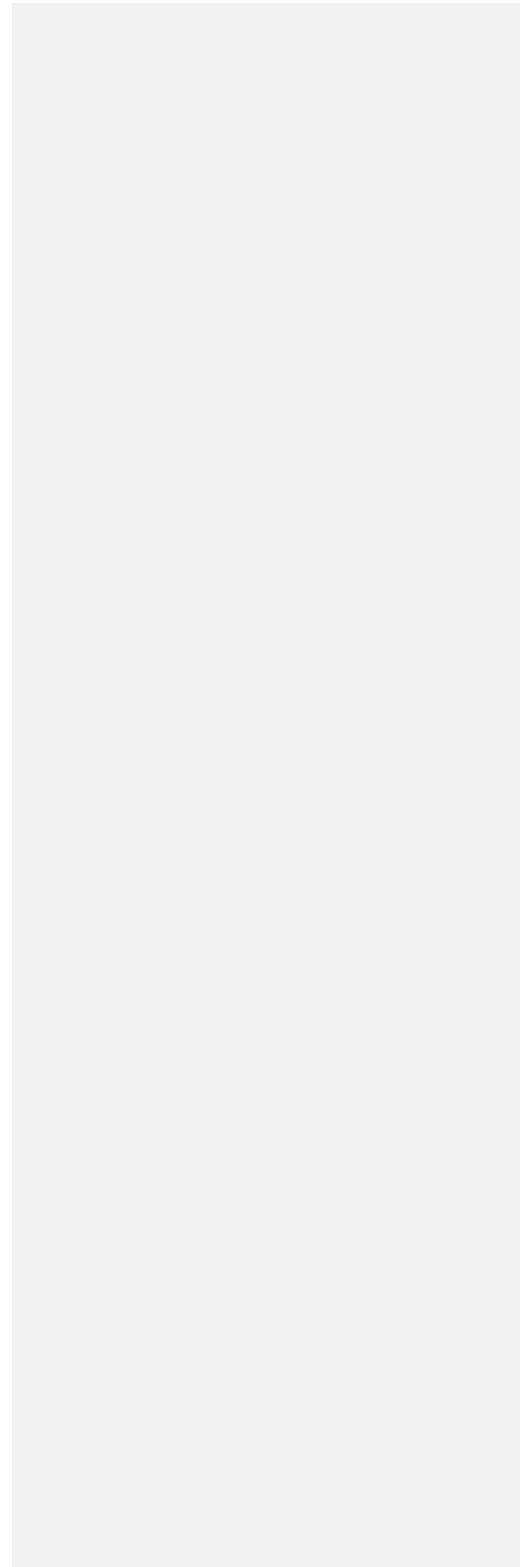
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Corporate Amendment Application – Adding and Deleting Lines of Business

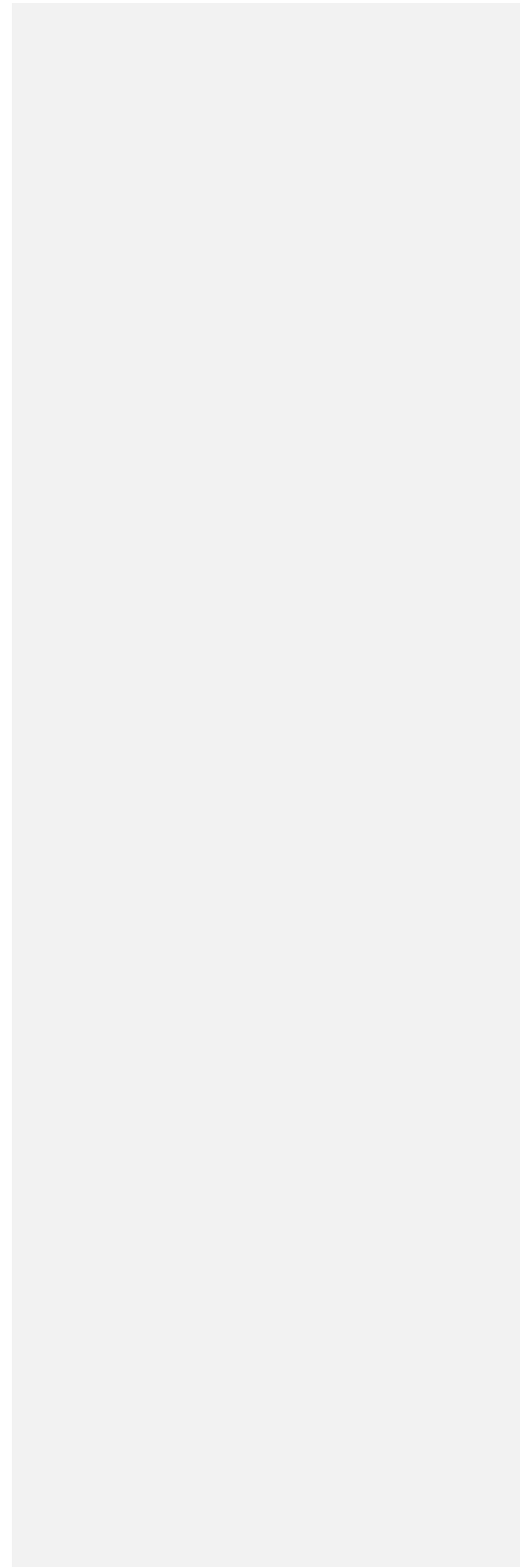
Application Instruction Items	Administrative Filing	Analysis of Current Condition	Analysis of Business Plan

Administrative Items

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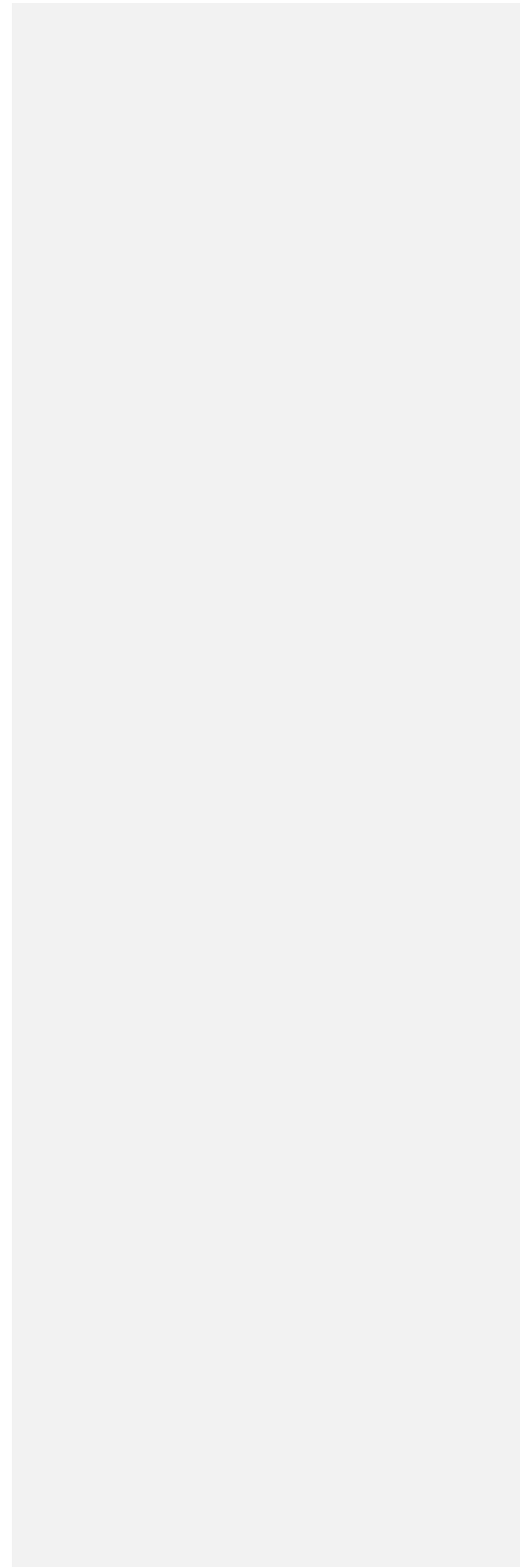
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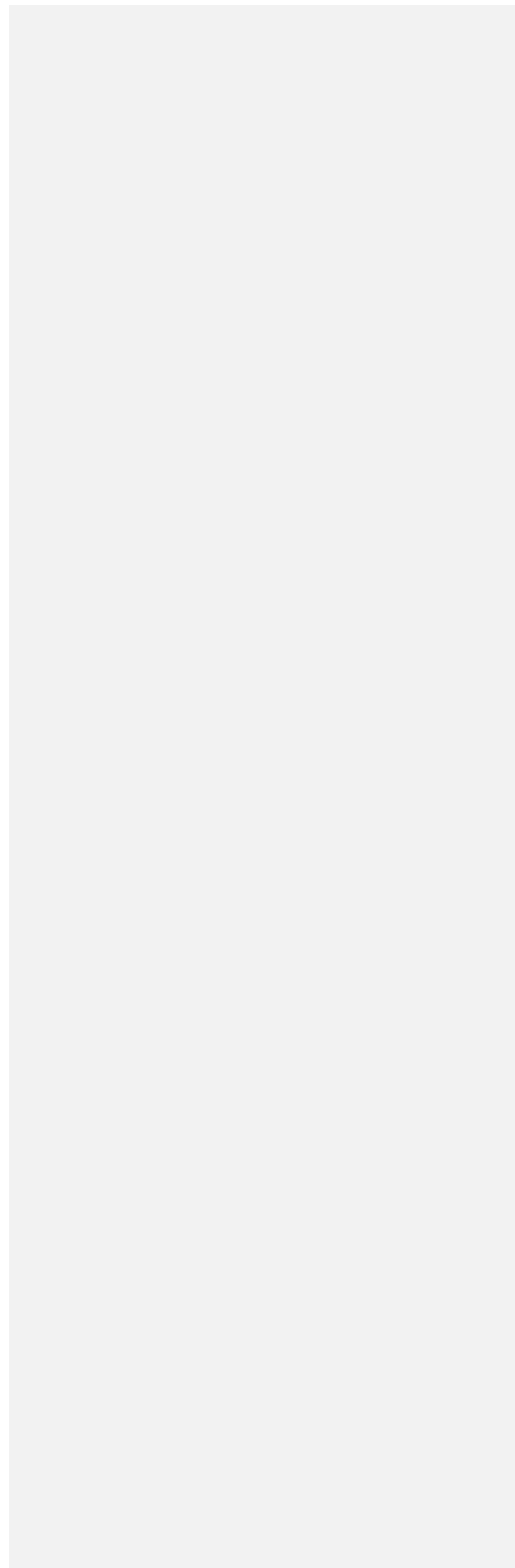
Company Licensing Best Practices Handbook

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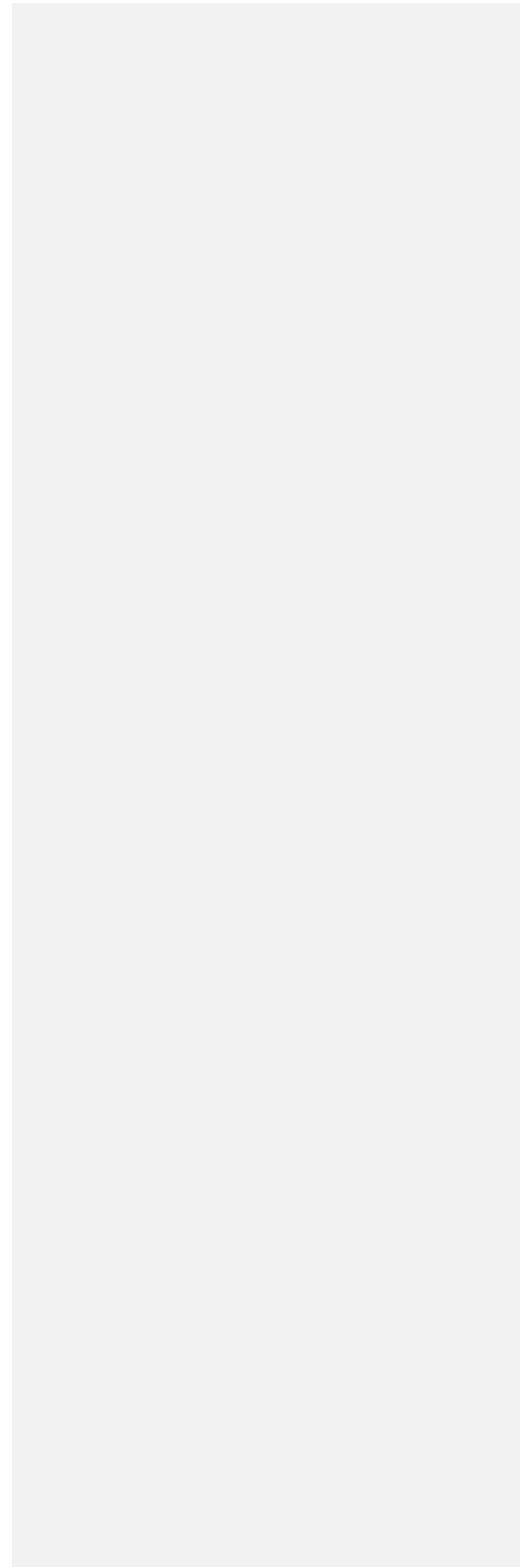
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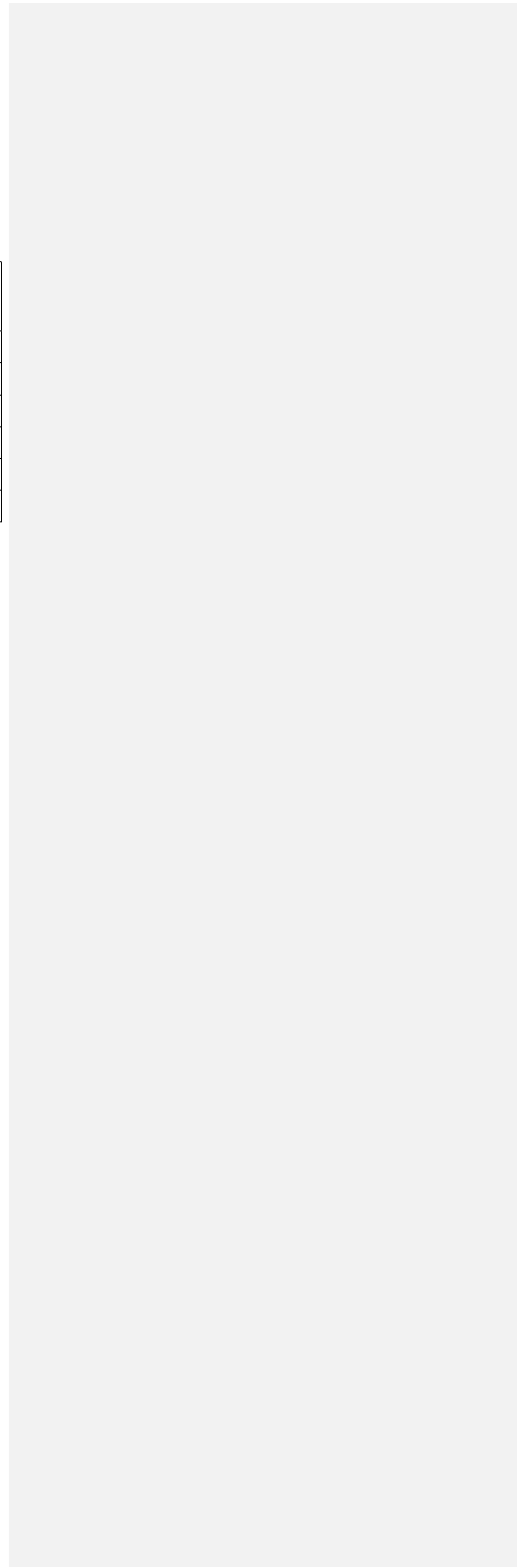
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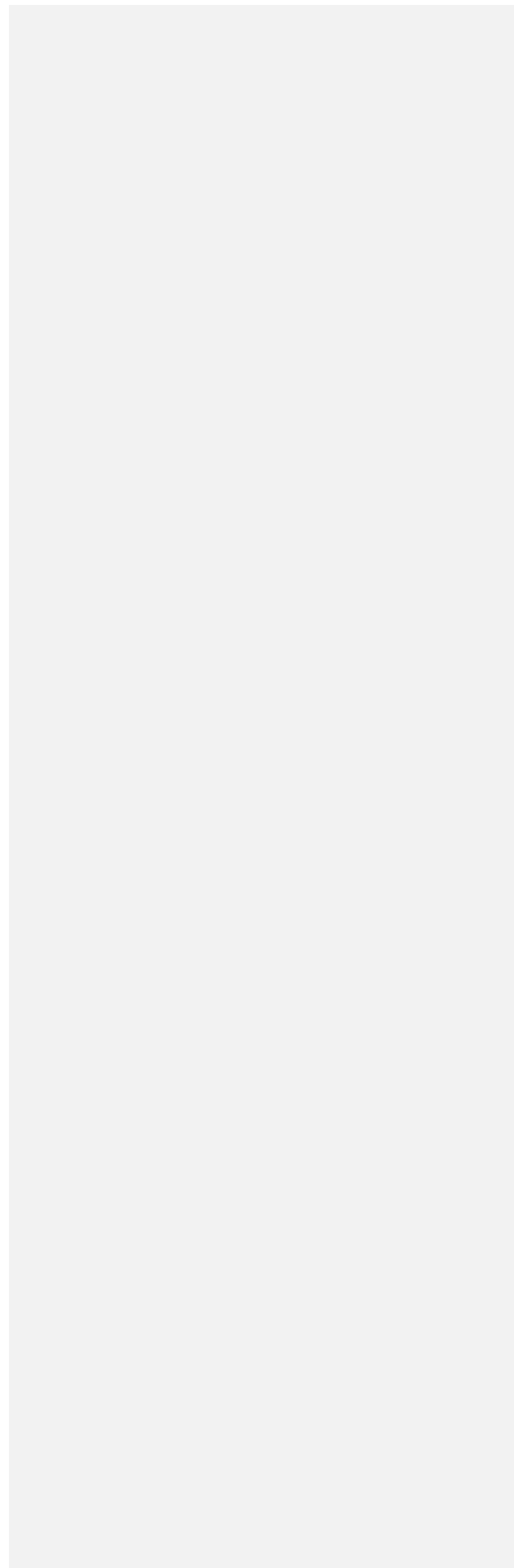
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Corporate Amendment Application – Redomiciliation of a Foreign Insurer

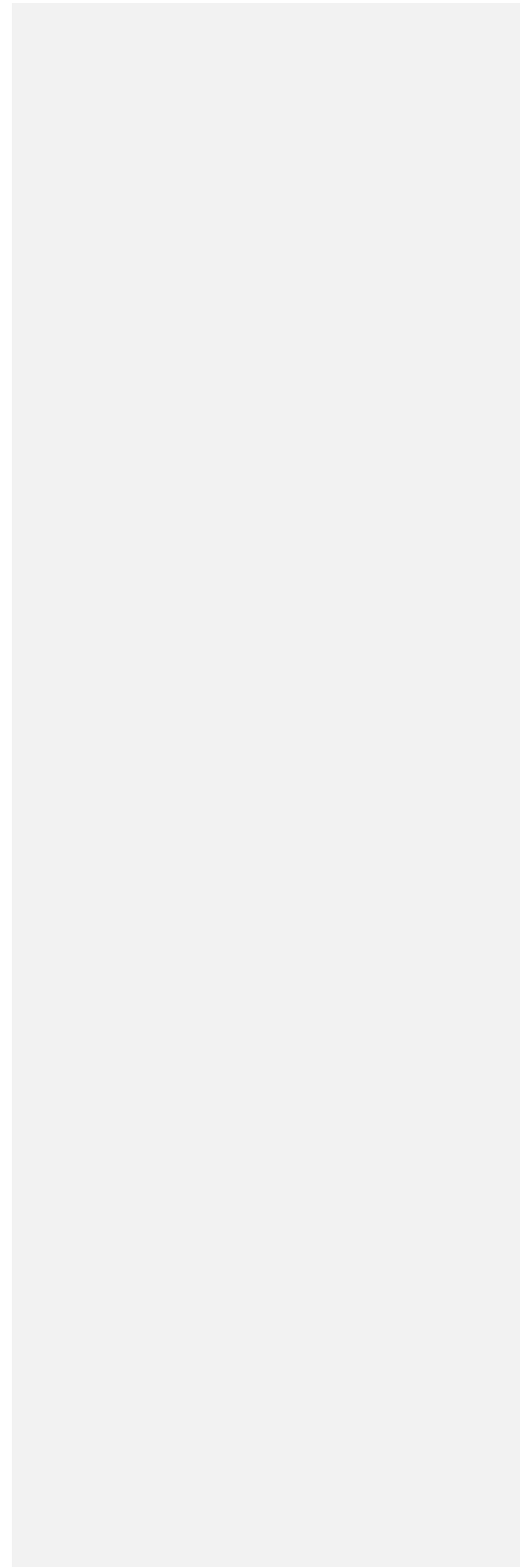
Application Instruction Items	Administrative Filing	Analysis of Current Condition	Analysis of Business Plan



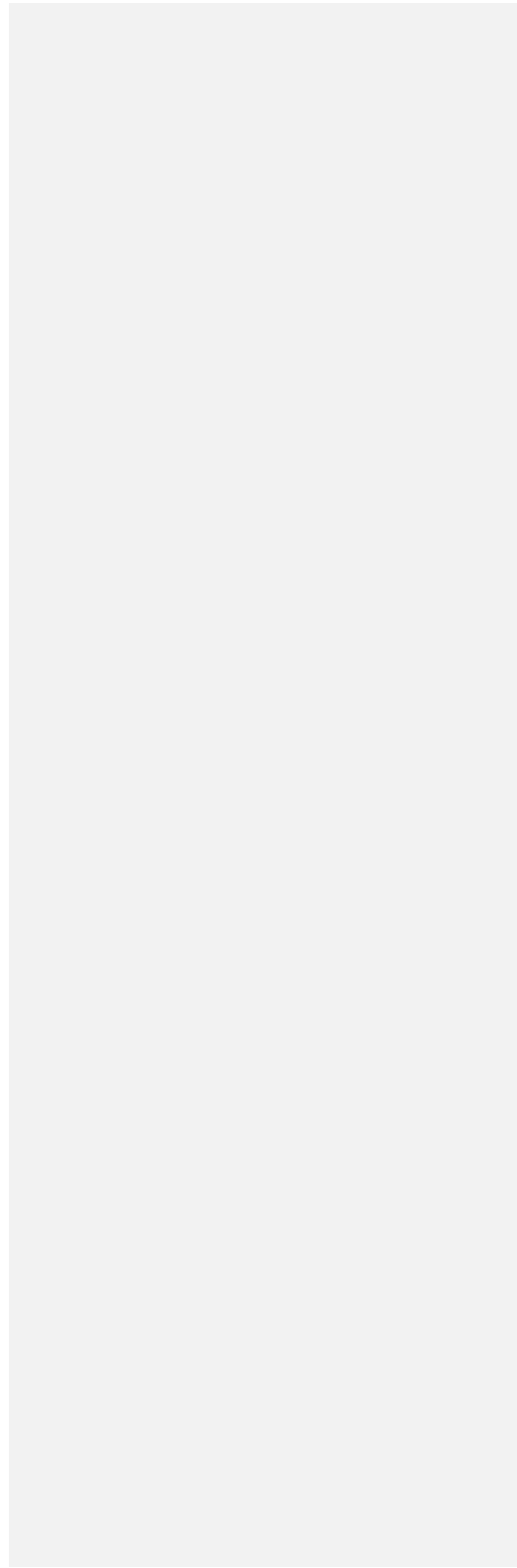
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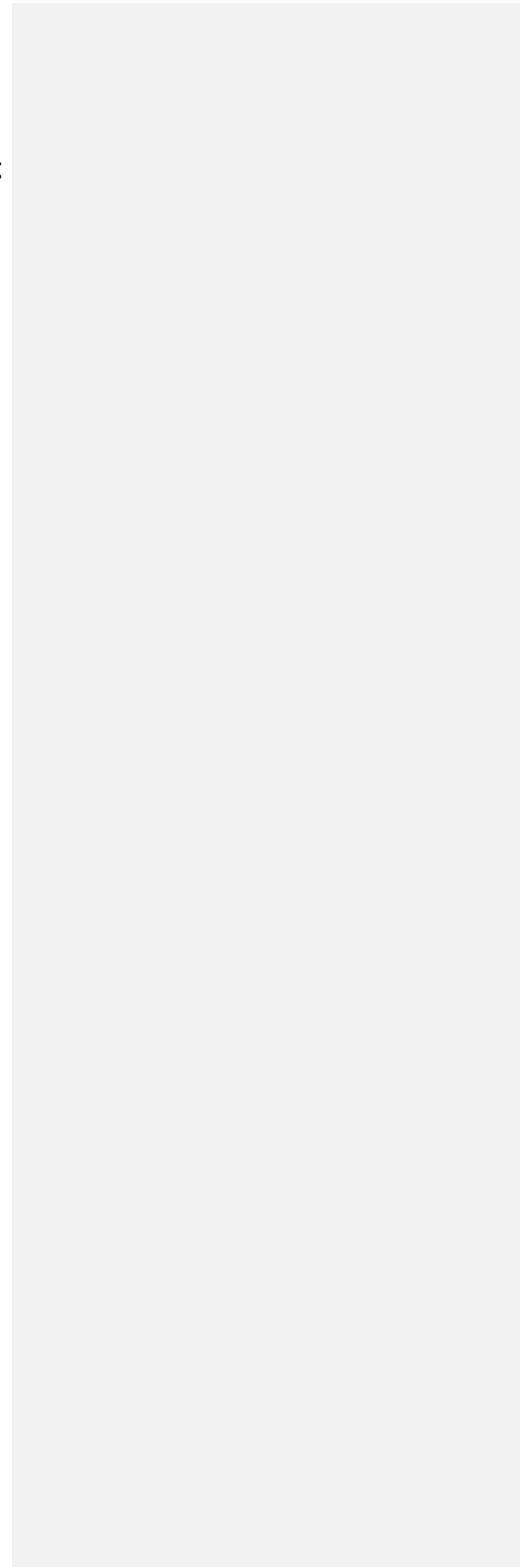


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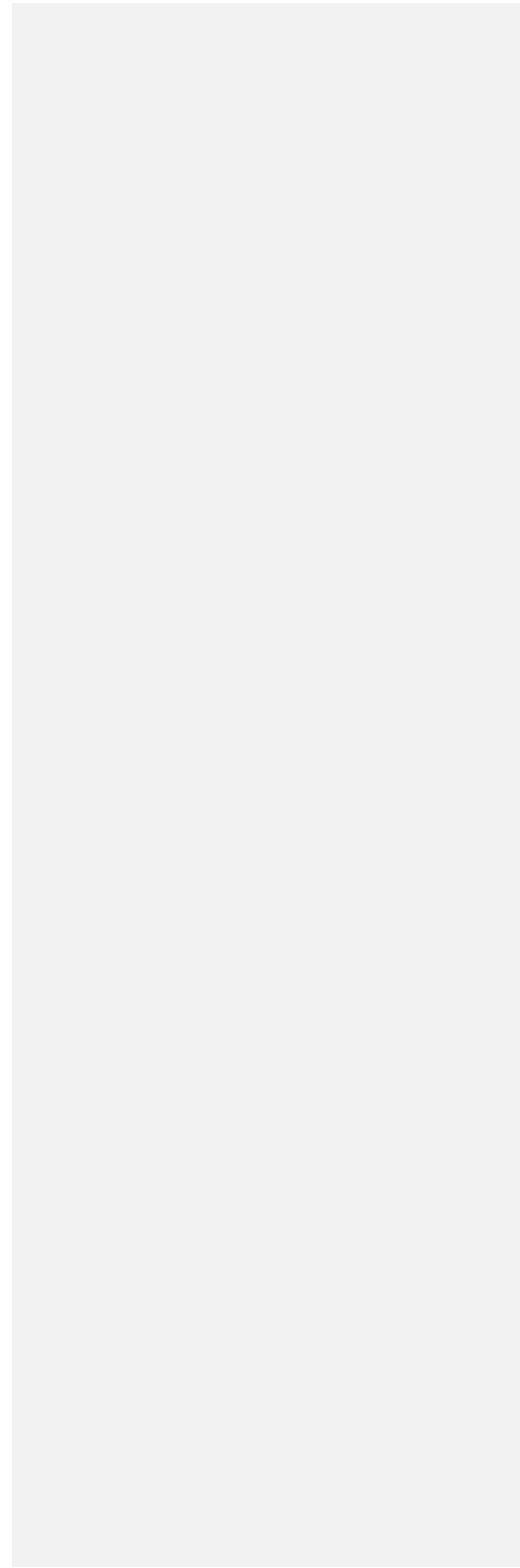


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Corporate Amendment Application – Merger of Two or More Foreign Insurers – For Filing with Non-Domiciliary States



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Analysis of Current Condition

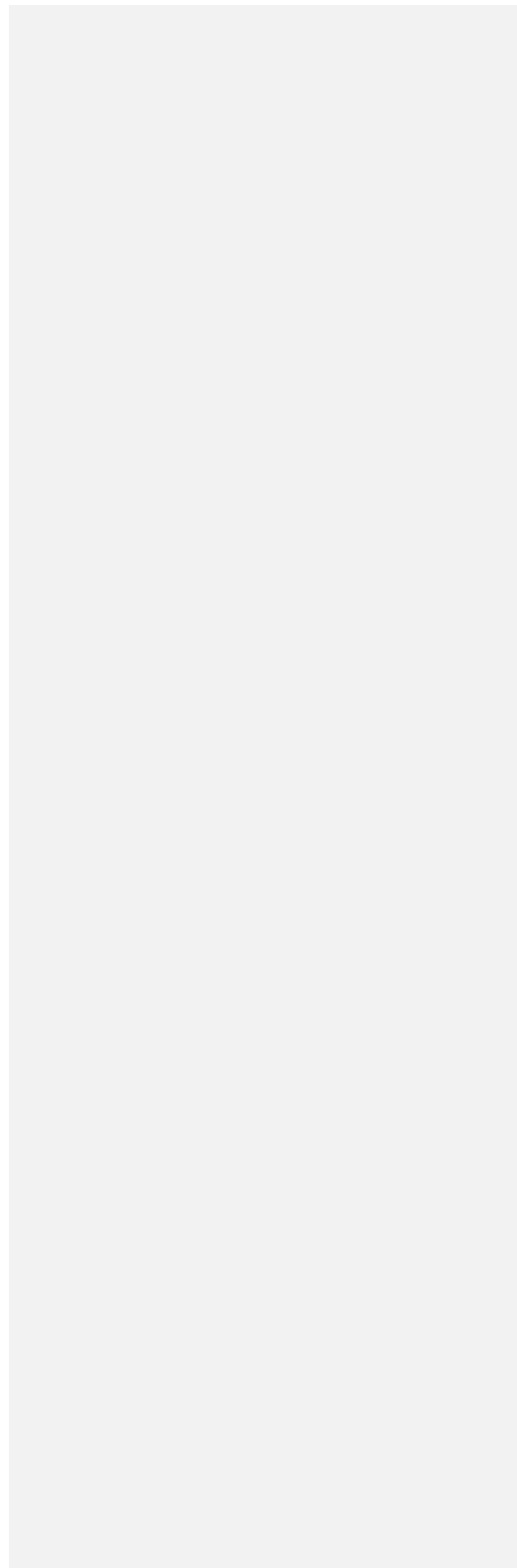
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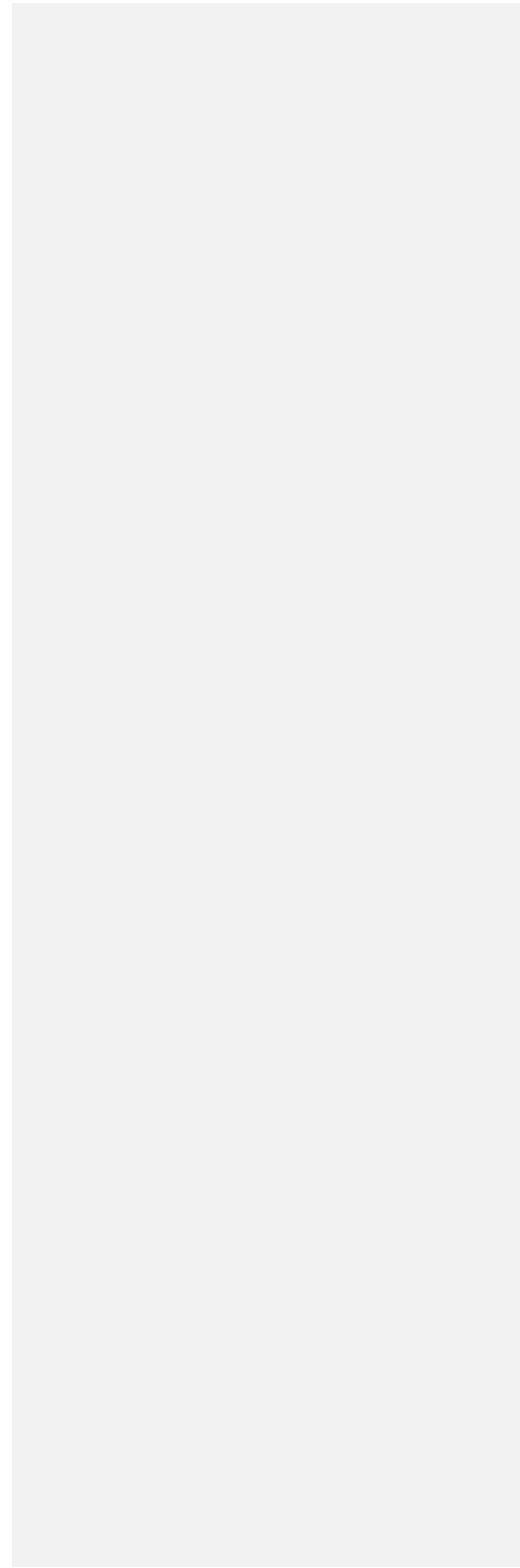
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Analysis of Business Plan



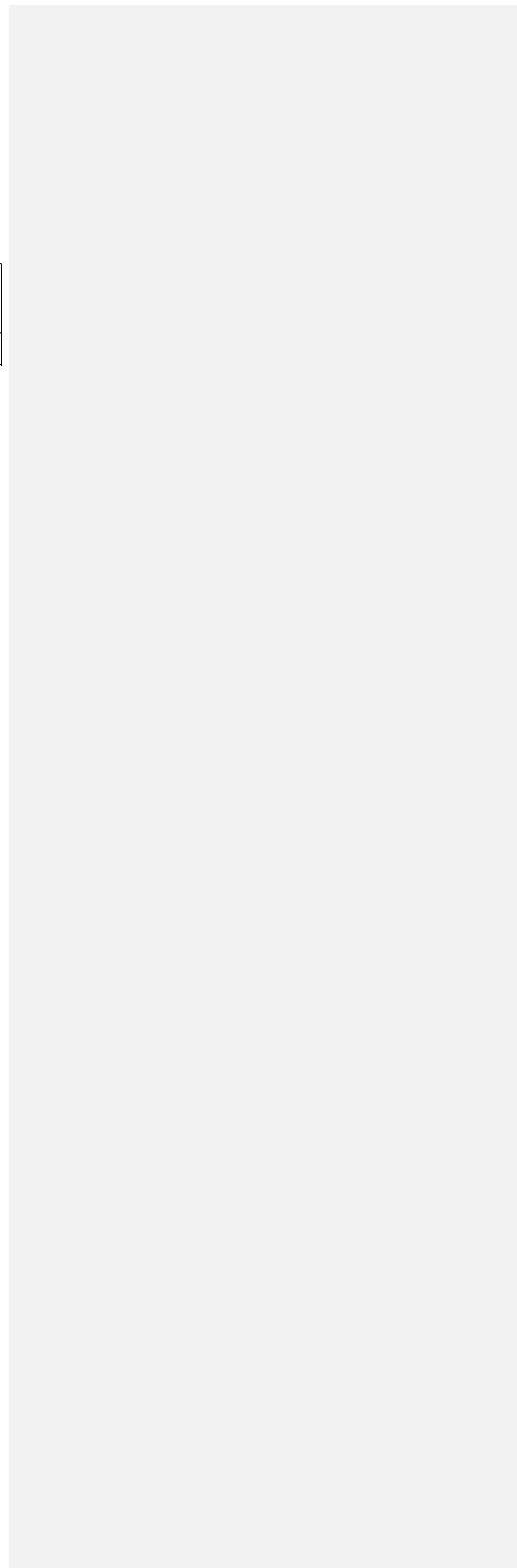
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Corporate Amendment Application – Change of Mailing Address/Contact Notification

Application Instruction Items	Administrative Filing	Analysis of Current Condition	Analysis of Business Plan
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Corporate Amendment Application – Consent to Service of Process

Application Instruction Items	Administrative Filing	Analysis of Current Condition	Analysis of Business Plan

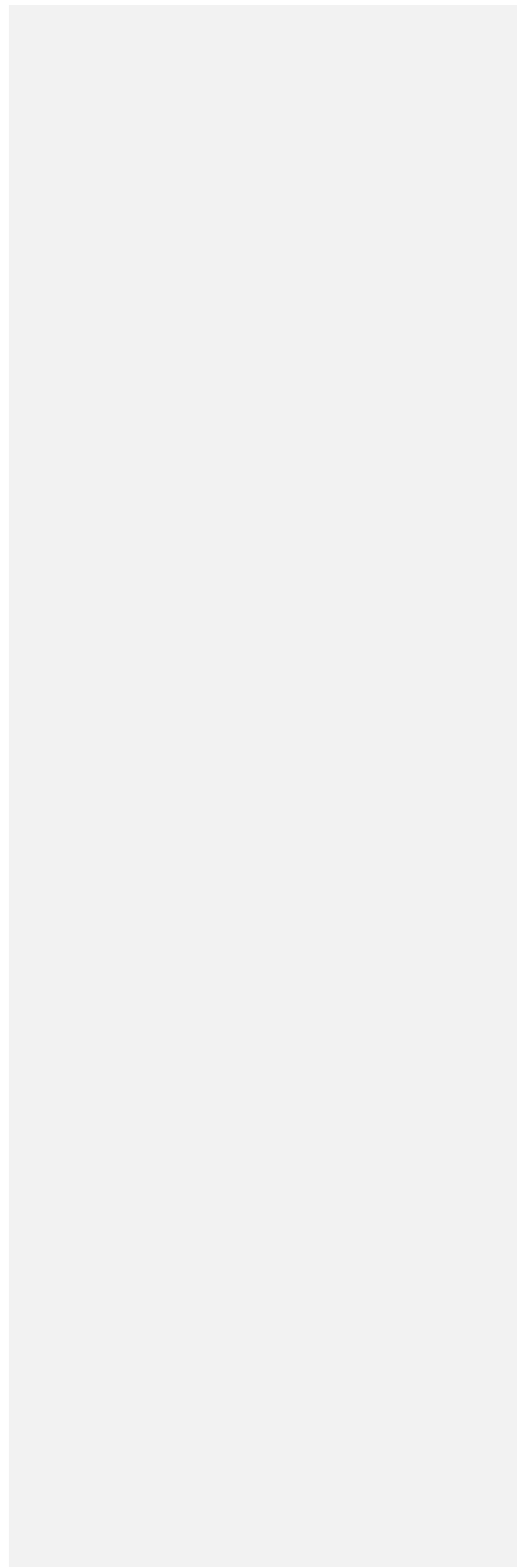
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Corporate Amendment Application- Statement of Withdrawal, Complete Surrender of Certificate of Authority

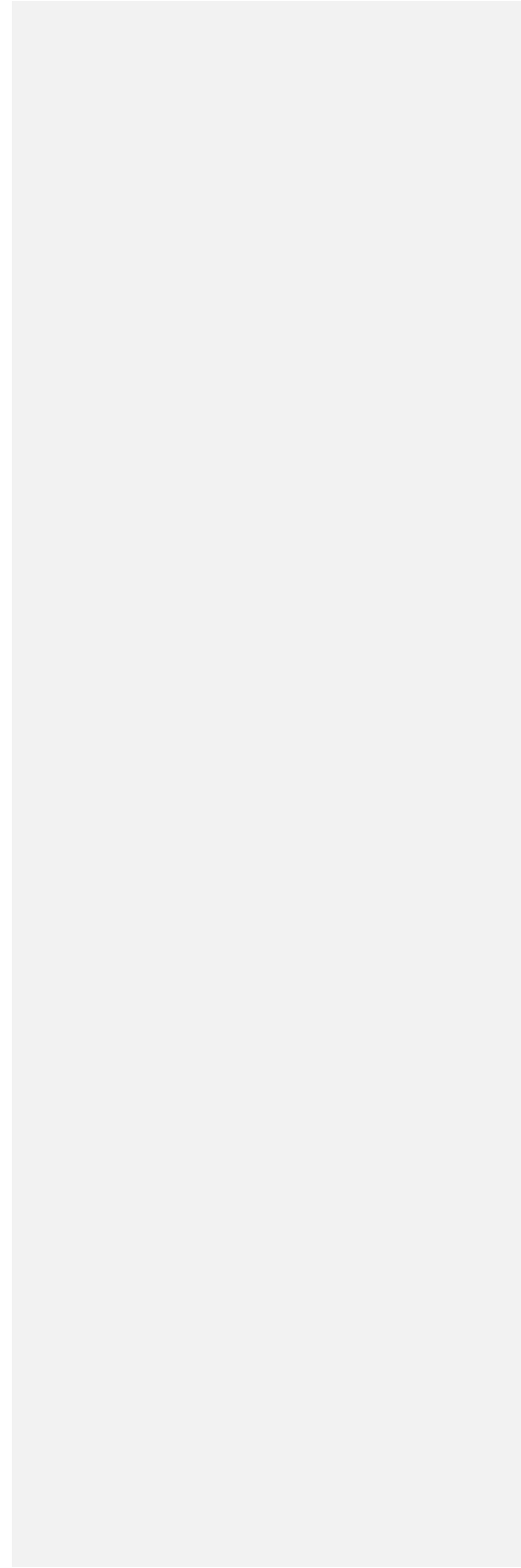
Application Instruction Items	Administrative Filing	Analysis of Current Condition	Analysis of Business Plan

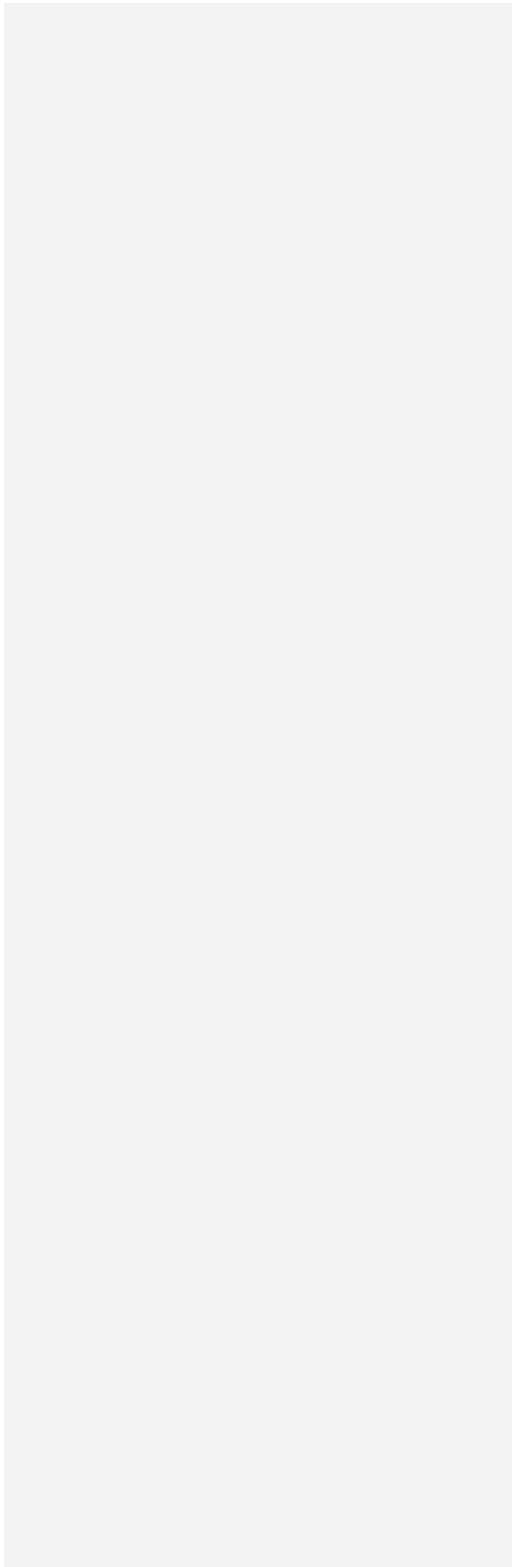
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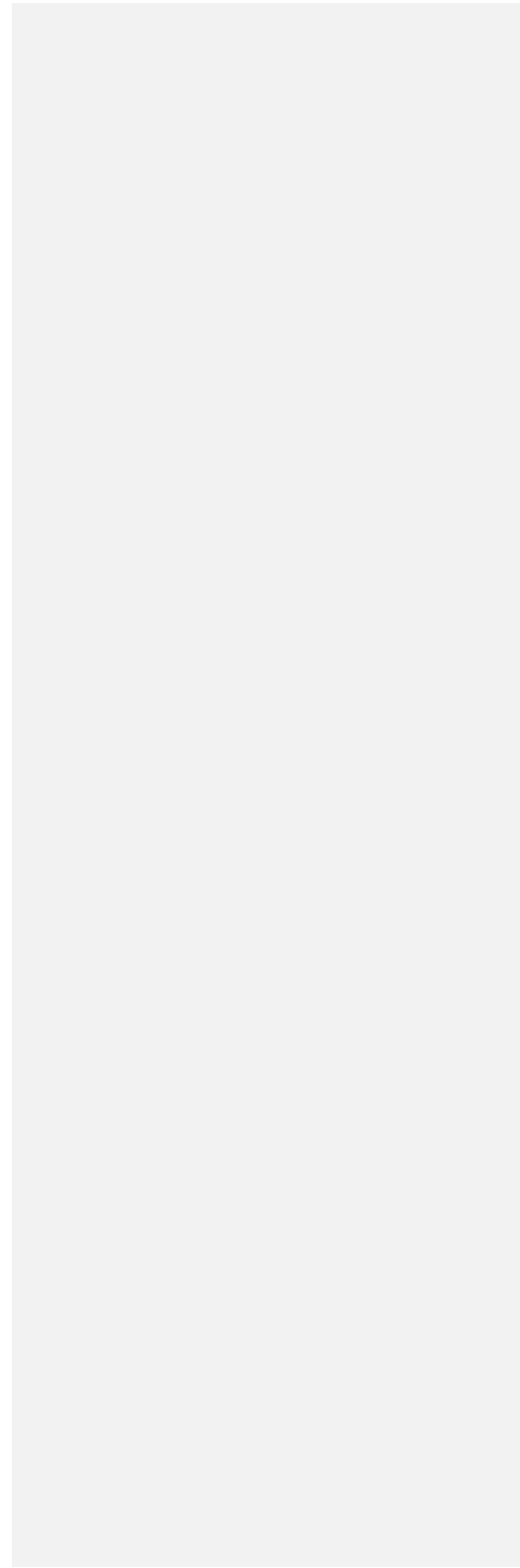
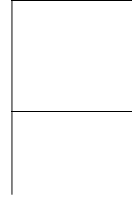


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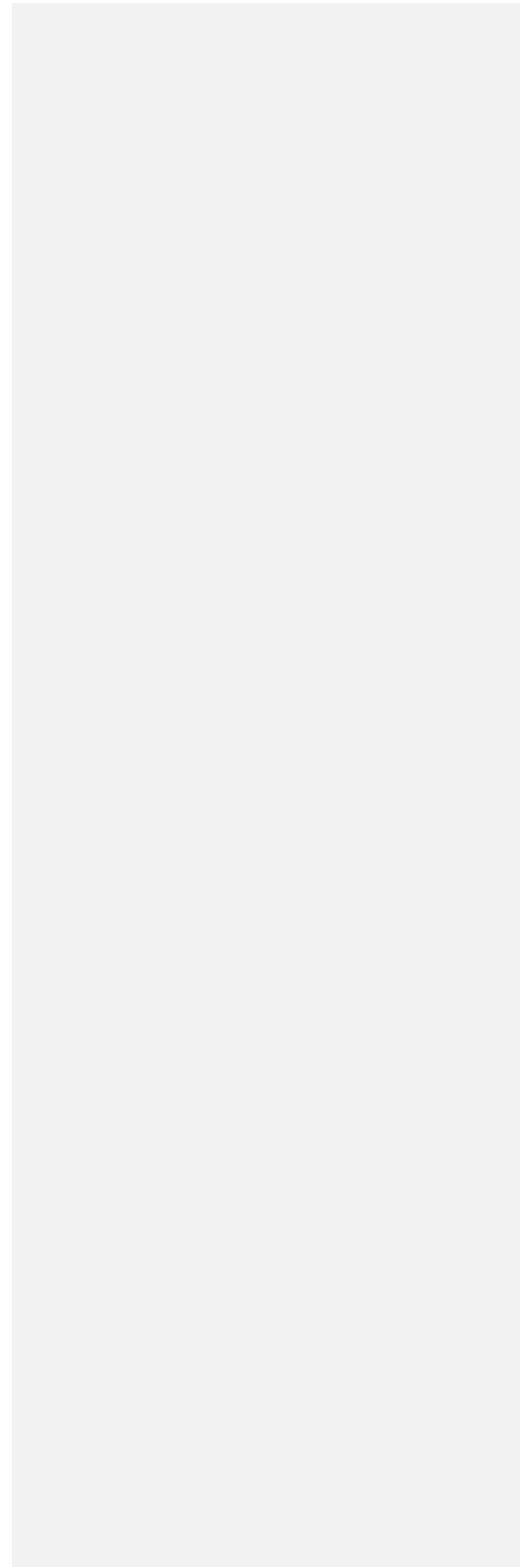


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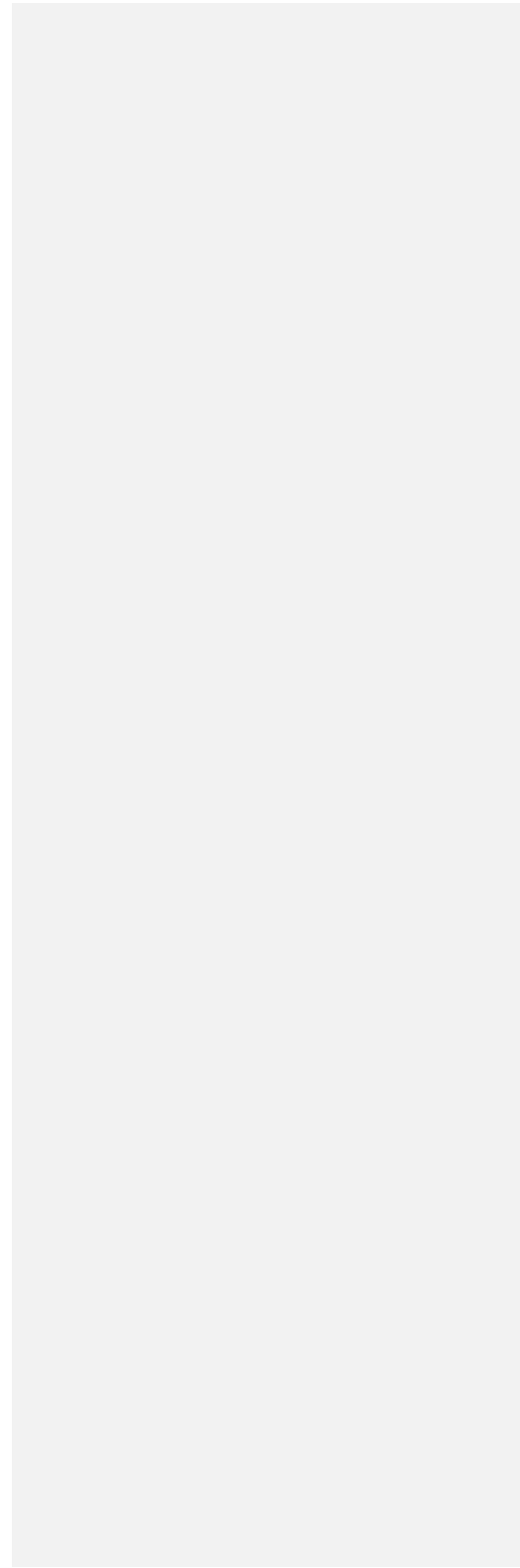


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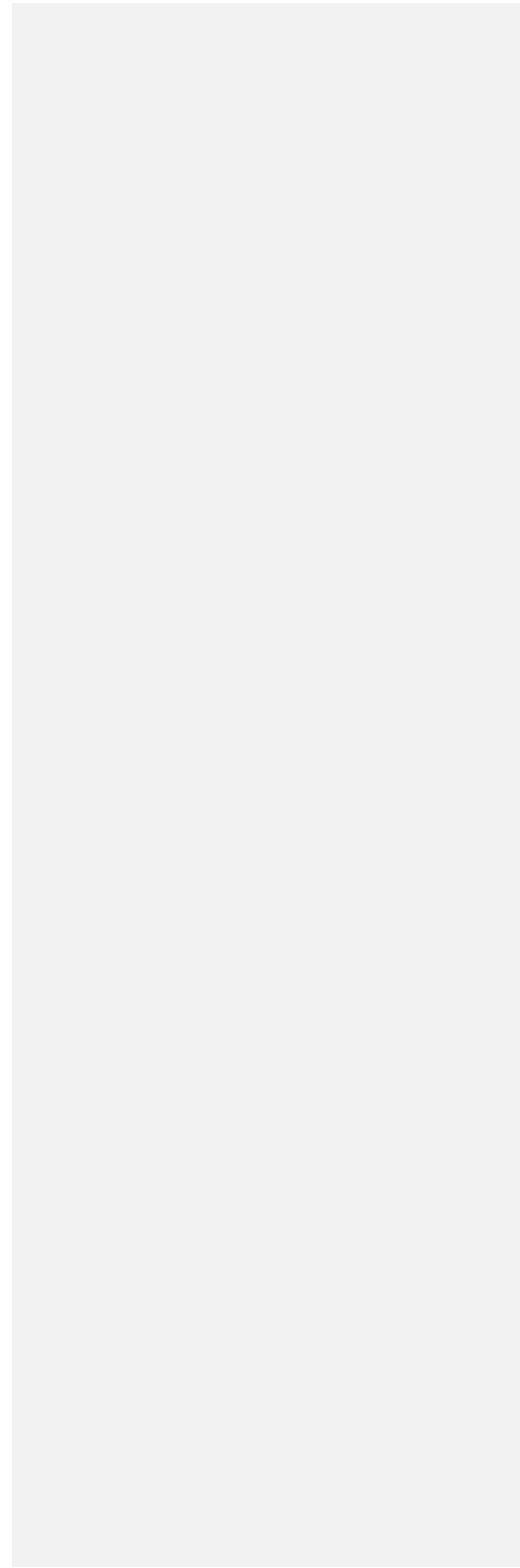
Corporate Amendments Application



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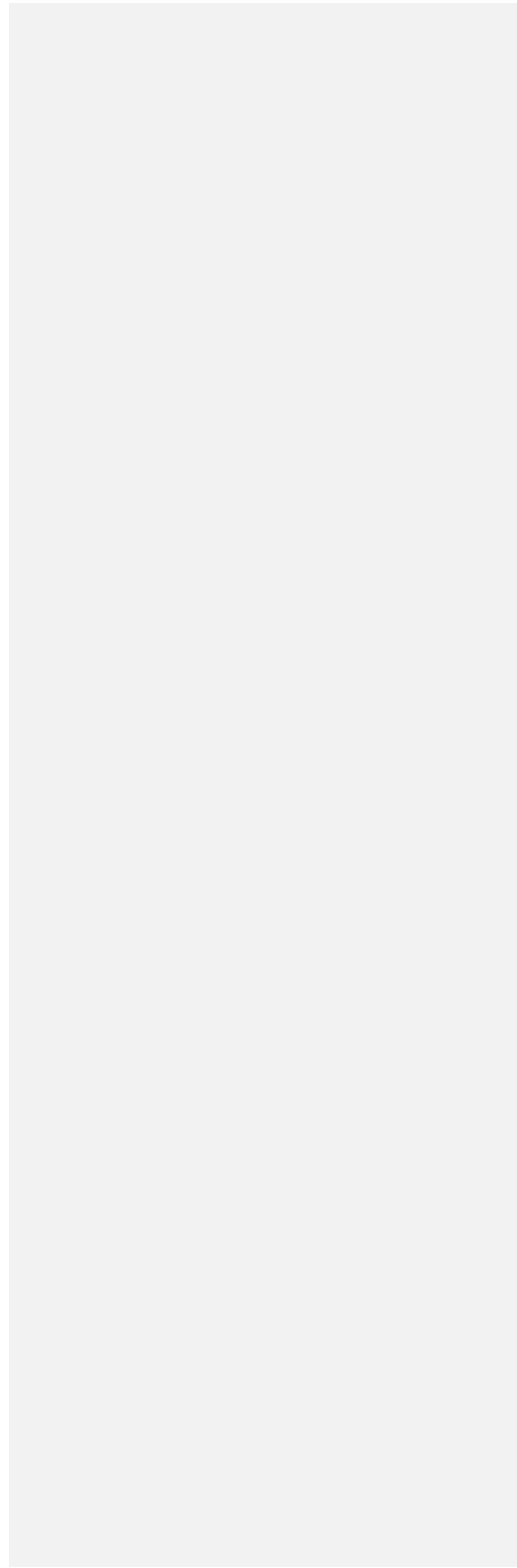


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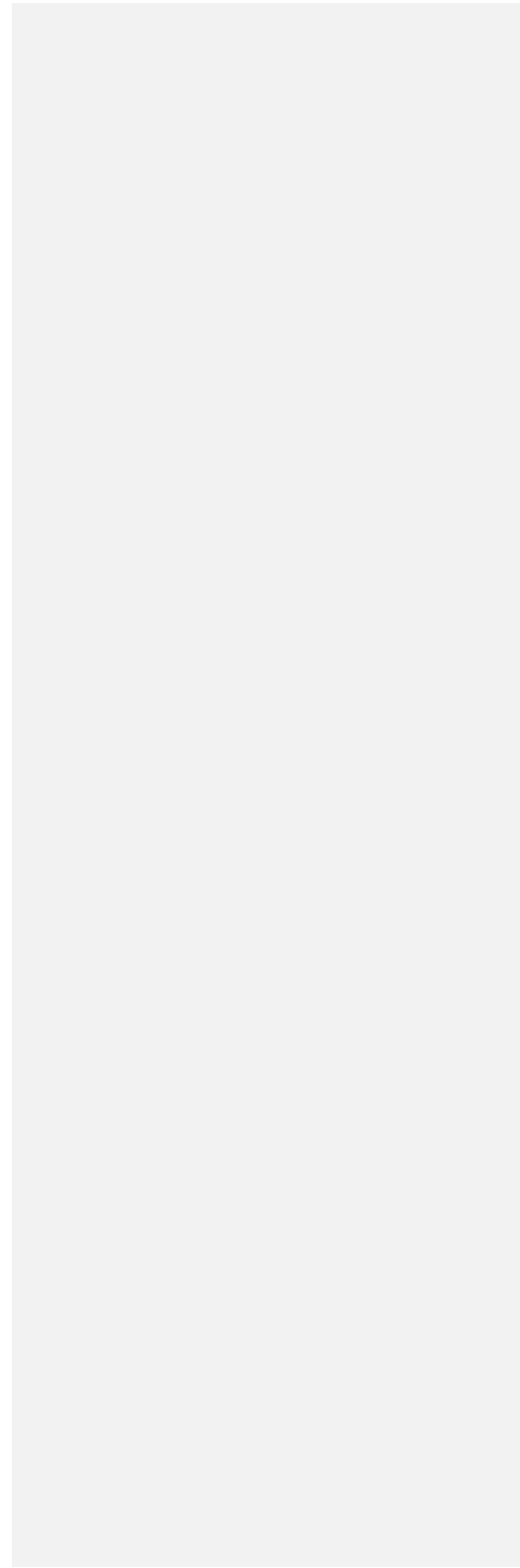
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**Review of Electronic Application Coordination
and Processing (REACAP)**



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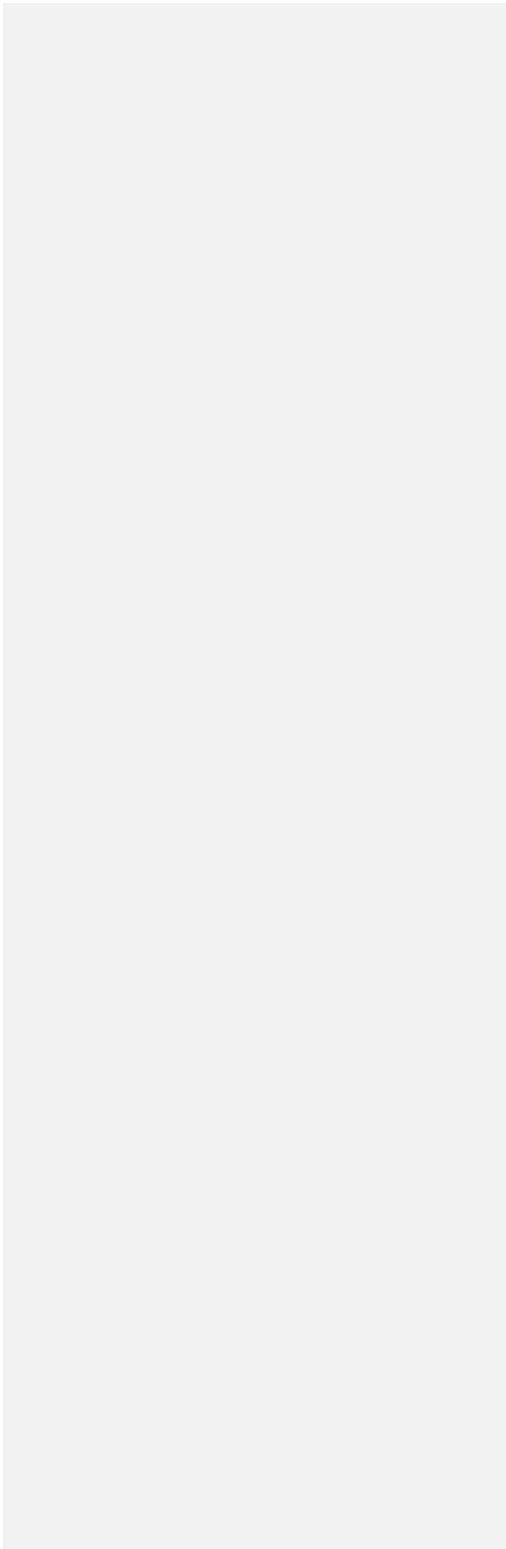
www.naic.org/industry_uca.htm



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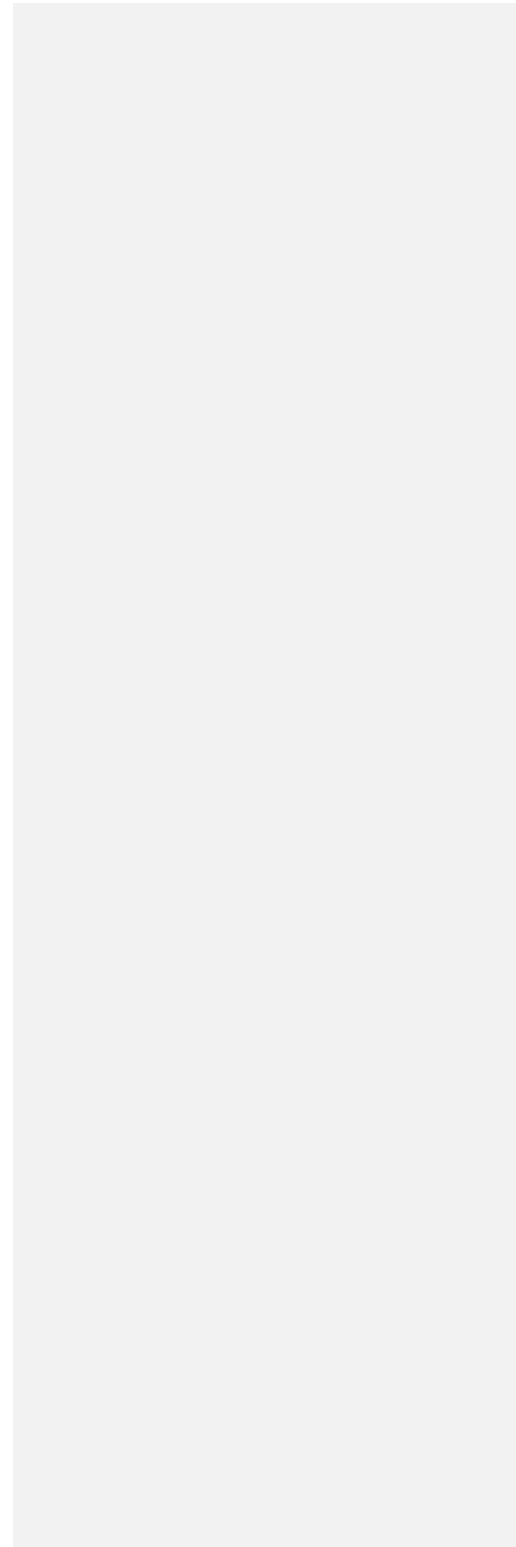
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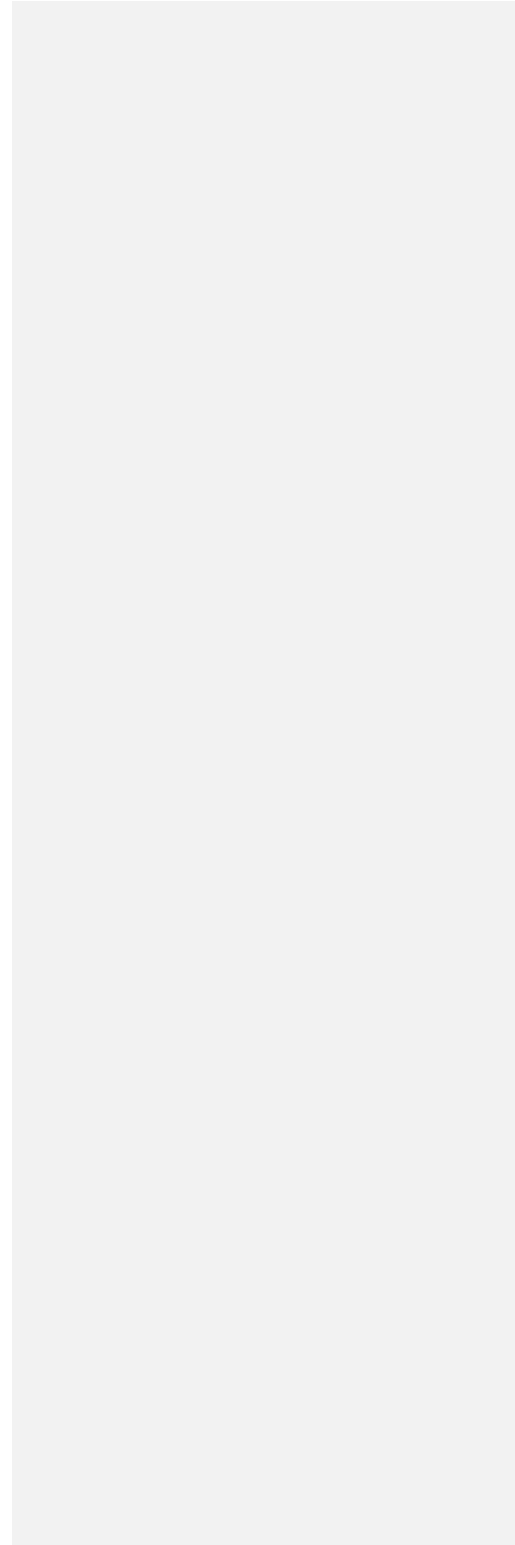


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Form A Review Best Practices



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3 Communication and Record Maintenance

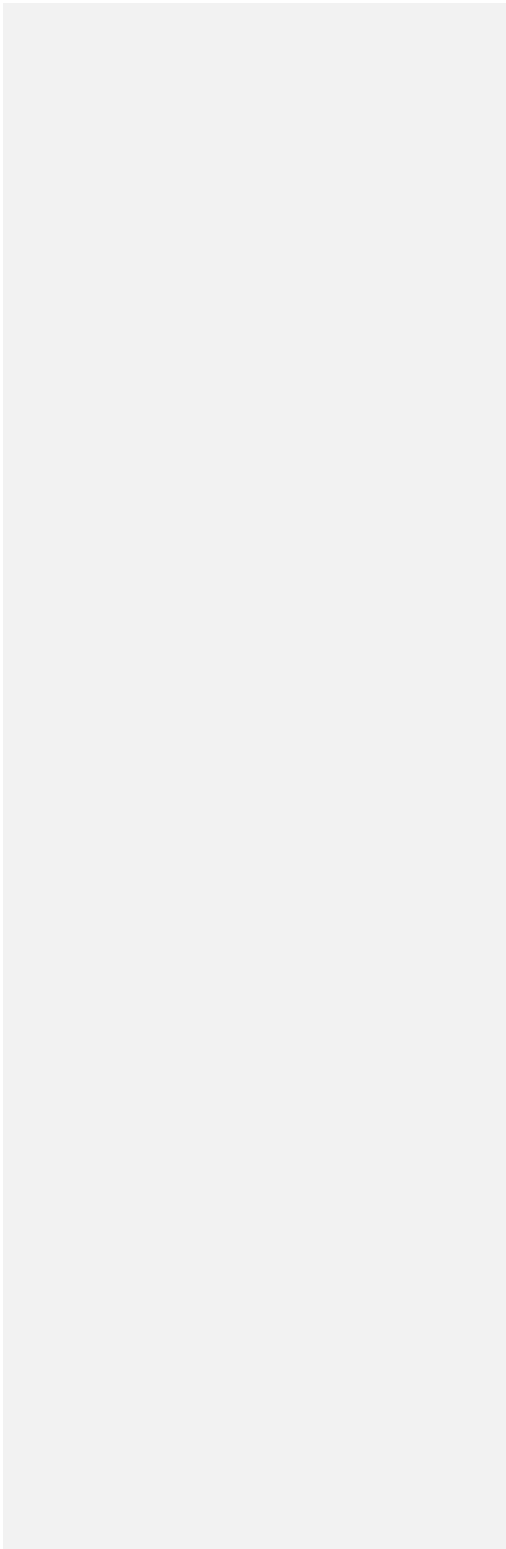
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Appendix E – Speed to Market



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Speed to Market

