

OUR MEETING WILL BEGIN SHORTLY

WELCOME TO THE UNIFORM EDUCATION (D) WORKING GROUP

Conference Call

Wednesday, December 4, 2024 12:00PM-1:00PM (CST)

- All attendees have been muted on entry. All attendees can mute and unmute themselves, but please keep yourself on mute when not providing comments.
- Participants may use the “Chat” feature for questions, comments, or assistance.
- While not necessary, participants may use the "Raise Hand" feature, and NAIC staff will let the Chair know you would like to speak.

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UEWG SURVEY

36 State Responses Received

UEWG SURVEY

Q3. Who is your state's insurance prelicensing exam vendor?

Response:	Pearson VUE- 12	PSA 34	Prometric 6	Other 4
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UEWG SURVEY

(ULS) PRE-LICENSING EDUCATION TRAINING STANDARDS FOR RESIDENT APPLICANTS

4. Hours Required:

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Chapter 6

Prelicensing Education

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Q5. Does your state post first-time test-taker exam pass rates?

Response: Yes 21 No 15

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Q6. How often do you post first-time test-taker exam pass rates?

Response: Annually 12

~~Bi~~ Annually - 2

Monthly 6

Other 16

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**SLH Chapter 8
Testing Programs**

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Q7. Does your state conduct a postkam survey?

Response: Yes 14 No 22

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Q9. If yes, do you post candidate demographic data?

Response: Yes-5- No-27

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Q10. Does your state identify CE course level (Basic, Intermediate, Advanced)?

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Q11. Does your state require a course introductory statement/form completion?

Response: Yes 10 / No- 26

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Q12. Does your state conduct audit of CE courses?

Response: Yes 25 No 11

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Q14. Does your state require a full course audit?

Response: Yes 14 No 22

Adopted by the Uniform Education (D) Working Group, Oct. 29, 2024

NAIC- CONTINUING EDUCATION AUDIT PROCEDURES

The purpose of this procedure is to provide instructions on auditing approved continuing education (CE) courses. At a minimum, ___% [optional – determined by state] of all approved CE courses, all active providers, and instructors are reviewed once every [insert timeframe] pursuant to NAIC guidelines. Audits consist of classroom, webinar, self-study, and desk, though no more than [insert percentage – optional state decision] of such audits shall be desk audits.

Perform the following steps during a CE audit:

1. Obtain detailed information on the course location for the auditor.
2. Ensure the class is still scheduled 24 hours prior to the date of course offering.
3. Pull the course outline and all supporting documentation from the system.
4. Email the auditor the authorization letter, course outline, and all supporting documentation 24 hours before the class begins.
5. Auditor to complete prescribed audit form.
6. Receive the audit paperwork back from the auditor.
7. Send follow-up notices, as necessary.
8. Forward requested documentation to [insert state] as necessary.

Once the audit is complete, the auditor

Review Documents:

1. Review documents pulled to be sure all copies are clear prior to forwarding them to the auditor(s).
2. Add the provider to the established completed audits file.
3. Confirm the course start and end time with the auditor and verify that the auditor will conduct the audit for the entire duration of the course.
4. Forward necessary documents and copies of the prescribed audit form to the appropriate

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Q16. Does your state have authority to take disciplinary action against CE Providers/Instructors?

Response: Yes 29 No 7

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Q18. Would you be willing to share disciplinary action taken with other states?

Response: Yes 32 / No 4

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Continuing Education Recommended Guidelines for Online Courses

Goal:

Key Components:



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Q19. Does your state prevent agents accessing the CE Course exam before reviewing course material?

Response: Yes 21 No 14

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NAIC CONTINUING EDUCATION RECIPROcity (CER) AGREEMENT - 2019 VERSION

The Commissioners agree as follows:

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Q20. Does your state limit the number of CE hours an agent can complete in a 24-hour period?

Response: Yes 2 No 34

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ANY OTHER MATTERS

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ADJOURNMENT