OUR MEETING WILL BEGIN SHORTLY

#### WELCOME TO THE UNIFORM EDUCATION (D) WORKING GROUP

**Conference Call** 

#### Wednesday, December **4**,024 12:00PM1:00PM (CST)

- All attendees have been muted on entry. All attendees can mute and unmute themselves, but please keep yourself on mute when not providing comments.
- Participants may use the "Chat" feature for questions, comments, or assistance.
- While not necessary, participants may use the "Raise Hand" feature, and NAIC staff will let the Chair know you would like to speak.

#### <u>UEWG SURV</u>EY

#### 36 State Responses Received

# <u>UEWG SURV</u>EY

Q3. Who is your state's insurance prelicensing exam vendor?

Response: Pearson VUE- PSH Prometric Other

# <u>UEWG SURV</u>EY

(ULS) PRE-LICENSING EDUCATION TRAINING STANDARDS FOR RESIDENT APPLICANTS

4. Hours Required:

Chapter 6

**Prelicensing Education** 

# <u>UEWG SURV</u>EY

Q5. Does your state post fir<del>st</del>me test-taker exam pass rates?

Response: Yes21 No 15

# <u>UEWG SURV</u>EY

Q6. How often do you post firstime test-taker exam pass rates?

Response:	Annually12	-Brinnually - 2
	Monthly6	Other 6

#### <u>UEWG SURV</u>EY

SLH Chapter 8 Testing Programs

# <u>UEWG SURV</u>EY

Q7. Does your state conduct a peskam survey?

Response: Yes14 No 22

# <u>UEWG SURV</u>EY

Q9. If yes, do you post candidate demographic data?



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Q10. Does your state identify CE course level (Basic, Intermediate, Advanc,a6 (e)0.8?EMC /P << 546ww 0 -7

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# <u>UEWG SURV</u>EY

Q11. Does your state require a course introductory statement/form completion?

Response: Yes10 / No-26

# <u>UEWG SURV</u>EY

#### Q12. Does you state conduct audit of CE courses?

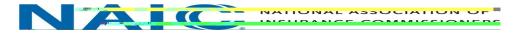


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# **UEWG SURVEY**

#### Q14. Does your state require a full course audit?





Adopted by the Uniform Education (D) Working Group, Oct. 29, 2024

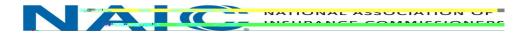
#### NAIC-CONTINUINGDUCATIONUDITPROCEDURES

The purpose of this procedure is to provide instructions on auditing approved continuing education (CE) courses. At a minimum, \_\_\_\_% [optional – determined by state] of all approved CE courses, all active providers, and instructors are reviewed once every [insert timeframe] pursuant to NAICguidelines Audits consistof classroom,webinar, self-study, and desk, though no more than [insert percentage– optional state decision]of suchaudits shallbe deskaudits

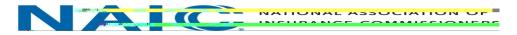
Perform the following stepsduring a CE audit:

- 1. Obtaindetailed information on the course ocation for the auditor.
- 2. Ensure the classis still scheduled 24 hoursprior to the date of course offering.
- 3. Pull the courseoutline and all supporting documentation from the system.
- 4. Emailthe auditor the authorizationletter, courseoutline, and all supportingdocumentation24 hours before the classbegins.
- 5. Auditor to complete prescribed audit form.
- 6. Receive the audit paperworkback from the auditor.
- 7. Send followup notices, as necessary.
- 8. Forwardrequesteddocumentationto [insert state] as necessary.

Once the audit is complete, the auditor



- ReviewDocuments: 1. Reviewdocuments pulled to sure all copies are clear prior to forwarding them to the auditor(s).
  - 2. Addthe provider to the establishedcompleted audits file.
  - 3. Confirm the coursestart and end time with the auditor and verify that the auditor will conduct the audit for the entire duration of the course.
  - 4. Forward necessarydocuments and copies of the prescribed audit form to the appropriate



Α.	. [insert state or third-party administrator]must checkto ensurethis wa	
	number of licenseessubmitted on the roster.	

- B. If there is a discrepancysenda notice to the provider questioningthis finding.
- C. If no response is received to the notice after ?? businessdays, forward all material to the [insert appropriate person] for follow-up.

Note: If questionsarise from the audit, forward documentsto [insert appropriate person]for clarification.

 [insert state or third-party administrator] will track receipt of the bankedrosters from the audited course(s) to ensure that the provider follows through on submission

Note: Theprovider must submit the course completion roster to [insert state or thirdparty administrator] within \_\_\_\_\_ days of completion.[optional – determined by state]

- FollowUp: 1. Receiveclassroster(s)from the provider to confirm information provided by the auditor.
  - 2. Verifyroster count and report any deficiencies the [insert appropriate person].

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ToProcessDeskAudits of AlreadyHeldColleadoad

-0 Td [((u)-)]TJ -0.002 Tc 0.001c 0.002 Tw 2si [(r7-1g2(o)1.)-Tw 0.714 0 Td TJ(e)-1.1 ((-)r)-1.2 (e)-11.9 (a)-5.2 30c 0.005 in (c)-12.5 (e) tope6-3.8 (s)-10.(s)-10.9 (o)-1.2 (d)-9.7 (y)f8 (e7 (8 (p)30.a)4.86 >m/P <</M.(o5al)]TJ (.8 (n)-11 -4.76 -0.007 Tc 0.007 Tw 1.571 0 0.0



# <u>UEWG SURV</u>EY

Q16. Does your state have authority to take disciplinary action against CE Providers/Instructors?



# <u>UEWG SURV</u>EY

Q18. Would you be willing to share disciplinary action taken with other states?

Response: Yes32 / No-4

**Continuing Education Recommended Guidelines for Online Courses Goal:** 

**Key Components:** 

#### <u>UEWG SURV</u>EY

Q19. Does your state prevent agents accessing the CE Course exam before reviewing course material?

Response: Yes24 No 14

#### <u>UEWG SURV</u>EY

#### NAIC CONTINUING EDUCATION RECIPROCITY (CER) AGREEMENT - 2019 VERSION

The Commissioners agree as follows:

# <u>UEWG SURV</u>EY

Q20. Does your state limit the number of CE hours an agent can complete in a 24 our period?



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# ANY OTHER MATTERS

#### ADJOURNMENT