

# Welcome to the Market Conduct Examination Guidelines (D) Working Group Meeting

OUR MEETING WILL BEGIN SHORTLY

March 30, 2021

2:00 p.m. Eastern/1:00 p.m. Central/12:00 p.m.  
Mountain/11:00 a.m. Pacific



- 9 All audio will be muted upon entry
- 9 Prior to speaking, unmute both [Webex](#) and your [cell phone](#)
- 9 Enter with video on or off (your choice)
- 9 During roll call, when your jurisdiction is called, please unmute, announce yourself by name, and ~~mute~~
- 9 At appropriate times, the chair will open the meeting for discussion
- 9 Use the “Chat” feature for questions, comments or assistance from moderators
- 9 If you have joined by phone, to mute and unmute your line press\*6
- 9 For any technical challenges please contact the NAIC Technical Support Team at [MeetingTechHelp@naic.org](mailto:MeetingTechHelp@naic.org) or 866-874-4905



## Potential Market Conduct Examination Guidelines(D) Working Group 2021 Tasks

For the purpose of generating discussion, the following is a list of recently adopted NAIC Market Regulation Models to consider for development of corresponding revisor to the exam standards in the Market Regulation Handbook below is a preliminary listing of potential tasks which the Working Group may be focusing on 2021, in addition to Groups, Task Forces and Committees

Model #	Title of Model
275	Suitability in Annuity Transactions Model Regulation
430	Health Maintenance Organization Model Act
440	Insurance Holding Company System Regulatory Act
651	Model Regulation to Implement the NAIC Medicare Supplement Insurance Minimum Standards Model Act (this was not really an amendment, rather published to reflect the annual fee changes)
805	Standard Nonforfeiture Law for Individual Deferred Annuities

Questions

POLICY IN FORCE STANDARDIZED DATA REQUEST  
Title Line of Business

Contents: This file should be downloaded from the company system(s) and contain one record for each policy issued in [applicable state] at any time during the examination period.

For any fields where there are multiple entries, please repeat field as necessary.

Uses: Data will be used to determine if the company follows appropriate procedures with respect to the issuance and rating of title policies

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