

Draft Pending Adoption

Attachment --
Market Regulation and Consumer Affairs (D) Committee
3/24/23

Draft: 3/6/23

Market Regulation Certification (D) Working Group
Virtual Meeting (in lieu of meeting at the 2023 Spring Meeting) 3/24/23

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Haworth said the fourth part of the charge is to create a process for future revisions to the certification standards. He said this was included in the implementation plan that was adopted in December.

Haworth said the final part of the charge is the Working Group's ongoing activity once a certification program is approved to assist jurisdictions in achieving certification.

Haworth said his goal is for the Working Group to have the complete package of the requirements, scoring matrix, and implementation plan to the Market Regulation and Consumer Affairs (D) Committee by the Summer National Meeting.

3. Reviewed the Pilot Program Suggested Revisions to the Market Regulation Certification Program

Haworth said the drafting group did not meet in January but met twice in February. He said the drafting group has completed going through the requirements. He said the group has reviewed all the requirements and is now doing one final close look to be sure the wording in the program is consistent, clear, and logical and that the scoring matrix is aligned with the requirements guidelines, measurements, and checklists.

Haworth said the revisions were primarily in line with recommendations received by the jurisdictions that piloted the Market Regulation Certification Program. However, the drafting group also came up with some revisions it felt were important.

Haworth said among the revisions and suggestions are:

- 1) The drafting group added an "Objective" and a "Measurement" section to each requirement. Much of the redline is simply cutting paragraphs from the "Guidelines" section to the new sections.
- 2) On requirements 3 and 4, the drafting group

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